

# Recursion

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## Summary

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- Definition of recursion and *divide et impera*
- Simple recursive algorithms
- Merge Sort
- Quicksort
- More complex recursive algorithms



## Definition

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### Recursion

See "Recursion".

### Recursion

If you still don't get it, see: "Recursion".



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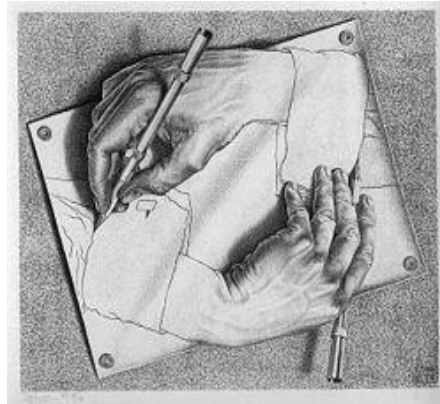
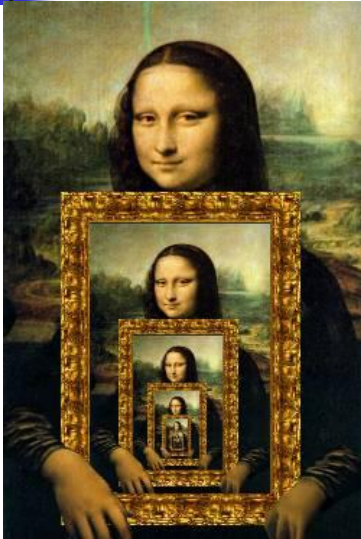
## Definition

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- A function  $F$  is called *recursive* when:
  - 1 Within the  $F$  function definition, there is a call to the same  $F$  function
  - 2 or there is a call to a function  $G$  which calls, directly or indirectly, the function  $F$ .
- An algorithm is called *recursive* when it is based on recursive functions.

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## Examples



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## Example: factorial

- $0! \equiv 1$
- $\forall N \geq 1 :$   
 $N! \equiv N \cdot (N-1)!$

```
double fact( double N )
{
    if(N == 0)
        return 1.0 ;
    return N * fact( N-1 );
}
```

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## Motivation

- Many problems have a recursive nature:
  - Define a method for solving sub-problems similar to the initial one (but smaller)
  - Define a method to combine partial solutions in the whole solution of the initial problem.

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## Divide and Conquer

"a" sub-problems,  
each one "b" times  
smaller than the  
problem

- Solution = Solve (problem) ;
- **Solve** (problem):
  - Subproblem<sub>1,2,3,...,a</sub> = **Divide**(problem) ;
  - For each subproblem<sub>i</sub>:
    - Sub-solution<sub>i</sub> = **Solve**(subproblem<sub>i</sub>) ;
  - Return solution = **Combine**(sub-solution<sub>1,2,3,...,a</sub>) ;

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## Divide and Conquer

- Solution = Solve(problem) ;
- **Solve** (problem):
  - Subproblem<sub>1,2,3,...,a</sub> = **Divide**(problem) ;
  - For each subproblem<sub>i</sub>:
    - Sub-solution<sub>i</sub> = **Solve** (subproblem<sub>i</sub>) ;
  - Return solution = **Combine**(sub-solution<sub>1,2,3,...,a</sub>) ;

Recursive call

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## When stopping?

Recursion must not be infinite, as an algorithm must stop eventually...

At some point, sub-problems become so simple to be solvable because:

- Obvious solution, in case of sets of only one element
- Methods alternative to recursion.

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## Note Well

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- Remember to always set a **stop condition**
- Try to make sub-problems dimensions **less** than the initial problem

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## Divide and Conquer (with stop condition)

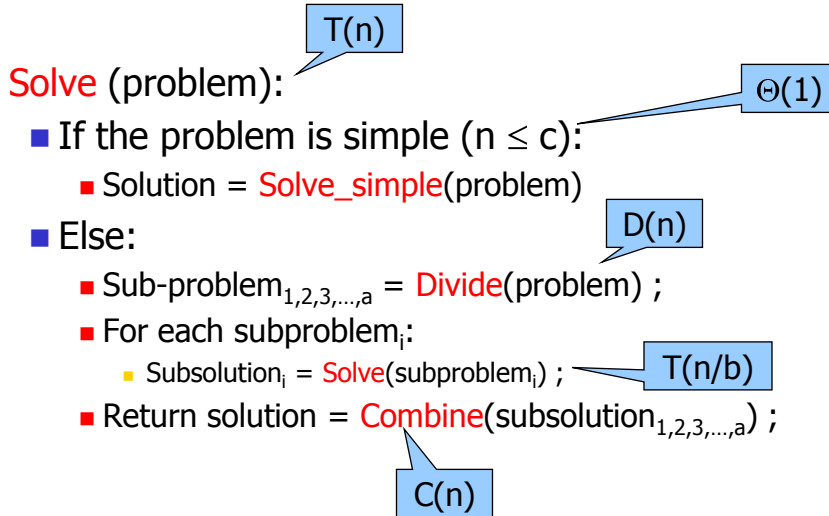
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**Solve**(problem):

- If the problem is simple:
  - Solution = **Solve\_simple**( problem )
- Else:
  - Sub-problem<sub>1,2,3,...,a</sub> = **Divide**(problem) ;
  - Fro each sub-problem<sub>i</sub> :
    - Sub-solution<sub>i</sub> = **Solve**(subproblem<sub>i</sub>) ;
  - Return solution = **Combine** (subsolution<sub>1,2,3,...,a</sub>);

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## Complexity (I)



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## Complexity (II)

$$T(n) = \begin{cases} \Theta(1) & \text{for } n \leq c \\ D(n) + a T(n/b) + C(n) & \text{for } n > c \end{cases}$$

Recursive Equation not easy to solve.

If  $D(n)+C(n)=\Theta(n)$ , then  $T(n)=\Theta(n \log n)$ .

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## Example: Fibonacci numbers

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Problem:

- Calculate the N-th number of Fibonacci sequence

Definition:

- $FIB_{N+1} = FIB_N + FIB_{N-1}$  for  $n > 0$
- $FIB_1 = 1$
- $FIB_0 = 0$

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## Solution

```
long fib(int N)
{
    long f1, f2 ;

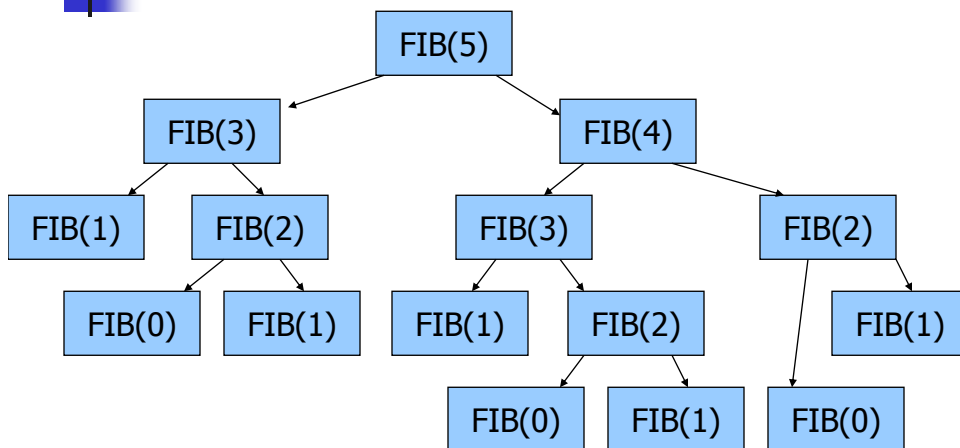
    if(N == 0) return 0 ;
    if(N == 1) return 1 ;

    f1 = fib(N-1) ;
    f2 = fib(N-2) ;
    return f1+f2 ;
}
```

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## Analysis



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## Example: binary search

### Problem

- Define if an element  $x$  is included in a sorted array  $v[N]$

### Approach

- Divide the array in 2 half parts and re-apply the problem on one half (the other half can be excluded as the array is sorted)

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## Example

$v$ 

1	3	4	6	8	9	11	12
---	---	---	---	---	---	----	----

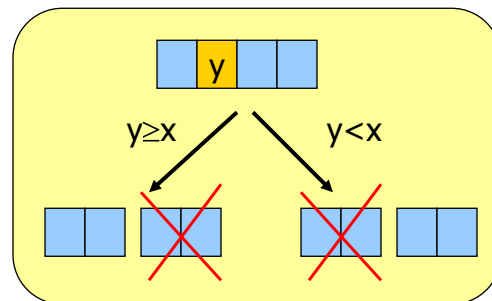
 $x$ 

4
---

1	3	4	6	8	9	11	12
---	---	---	---	---	---	----	----

1	3	4	6
---	---	---	---

4	6
---	---



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## Solution

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```
int search(int v[], int a, int b, int x)
{
    int c ;
    if(b-a == 0)
        if (v[a]==x) return a ;
        else return -1 ;

    c = (a+b) / 2 ;
    if(v[c] >= x)
        return search(v, a, c, x) ;
    else return search(v, c+1, b, x) ;
}
```

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## Exercise

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Calculate binomial coefficient  $\binom{n}{m}$ , taking into account information derived from Tartaglia triangle:

$$\begin{cases} \binom{n}{m} = \binom{n-1}{m-1} + \binom{n-1}{m} \\ \binom{n}{n} = \binom{n}{0} = 1 \\ 0 \leq n, \quad 0 \leq m \leq n \end{cases}$$

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## Exercise

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Calculate the **determinant** of a square matrix.

Remember that:

- $\text{Det}(M_{1 \times 1}) = m_{11}$
- $\text{Det}(M_{N \times N}) =$  sum of products of elements of a row (or column) multiplied the determinants of sub-matrixes  $(N-1) \times (N-1)$  obtained by deleting the row and column containing the element, taken with sign  $(-1)^{i+j}$ .

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## Recursion and iteration

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AN Information Theory theorem proves that each recursive program can be implemented with an iterative program.

Best solution may depend on efficiency and clarity of code and it depends by the kind of problem.

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## Example: factorial (iterative)

- $0! \equiv 1$
- $\forall N \geq 1 :$   
 $N! \equiv N \cdot (N-1)!$

```
double fact( double N )
{
    double tot = 1.0 ;
    int i;
    for(i=2; i<=N; ++i)
        tot = tot * i ;
    return tot ;
}
```

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## Fibonacci (iterative)

```
long fib(int N)
{
    long f1=1, f2=0, f ;
    int i;
    if(N == 0) return 0 ;
    if(N == 1) return 1 ;

    f = f1 + f2 ; /* N==2 */
    for(i=3; i<= N; ++i)
    {
        f2 = f1 ;
        f1 = f ;
        f = f1+f2 ;
    }
    return f ;
}
```

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## Binary Search (iterative)

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```
int search(int v[], int a, int b, int x)
{
    int c ;
    while(b-a != 0)
    {
        c = (a+b) / 2 ;
        if(v[c] >= x)
            b = c ;
        else a = c+1 ;
    }
    if(v[a]==x) return a ;
    else return -1 ;
}
```

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## Proposed Exercises

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1. Provide the iterative version of binomial coefficient ( $n \ m$ ).
2. Analyze the difficulties in realizing the iterative version of determinant calculation.

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# Sorting Algorithms

## (Recursive Algorithms)

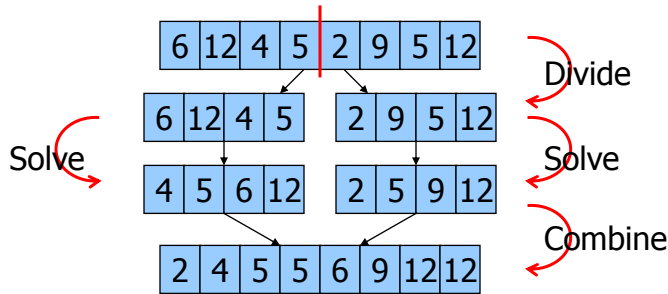


## Summary

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# Merge Sort

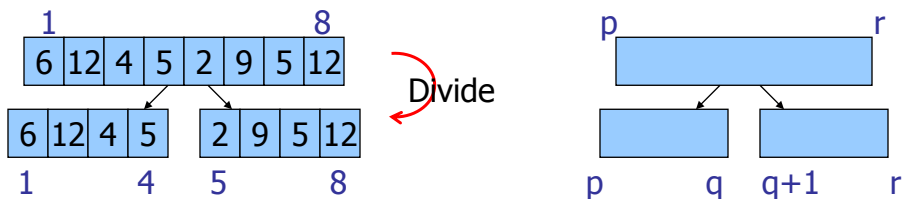
Merge Sort algorithm is the direct application of "Divide and Conquer" to sorting problems.



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# Merge Sort: Divide

"Divide" step simply consists in partitioning the initial array in 2 sub-arrays, before and after the division point, which is usually chosen in the middle of the array.



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## Merge Sort: stop condition

Stop condition holds whenever the sub-array has only one element ( $p=r$ ) or no elements ( $p>r$ ).

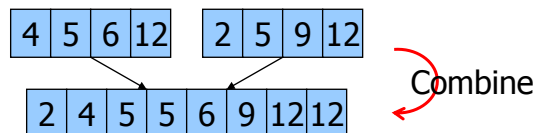
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## Merge Sort: Combine

Combine step is based on *merge* of two ordered vectors:

- Given 2 ordered arrays, merge them together in a third sorted array

This algorithm complexity is  $\Theta(n)$ .



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## Pseudo-code

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```

MERGE-SORT( $A, p, r$ )
1  if  $p < r$                                 } Stop condition
2      then  $q \leftarrow \lfloor (p+r)/2 \rfloor$       } Divide
3          MERGE-SORT( $A, p, q$ )                } Solve
4          MERGE-SORT( $A, q+1, r$ )              }
5          MERGE( $A, p, q, r$ )                  } Combine

```

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## Notation

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These symbols are used in math and computer science:

- $\lfloor x \rfloor$  = the biggest integer  $\leq x$  (read: "floor of  $x$ ")
  - i.e. integer part of  $x$
- $\lceil x \rceil$  = the smallest integer  $\geq x$  (read: "ceiling of  $x$ ")

Examples:

- $\lfloor 3 \rfloor = \lceil 3 \rceil = 3$
- $\lfloor 3,1 \rfloor = 3$ ;  $\lceil 3,1 \rceil = 4$

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## Merge pseudo-code

```

MERGE(A, p, q, r)
1  i ← p ; j ← q+1 ; k ← 1
2  while( i ≤ q and j ≤ r )
3      if( A[i] < A[j] ) B[k] ← A[i] ; i ← i+1
4      else                  B[k] ← A[j] ; j ← j+1
5      k ← k+1
6  while( i ≤ q )    B[k] ← A[i] ; i ← i+1 ; k ← k+1
7  while( j ≤ r )    B[k] ← A[j] ; j ← j+1 ; k ← k+1
8  A[p..r] ← B[1..k-1]

```

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## Merge Procedure

```

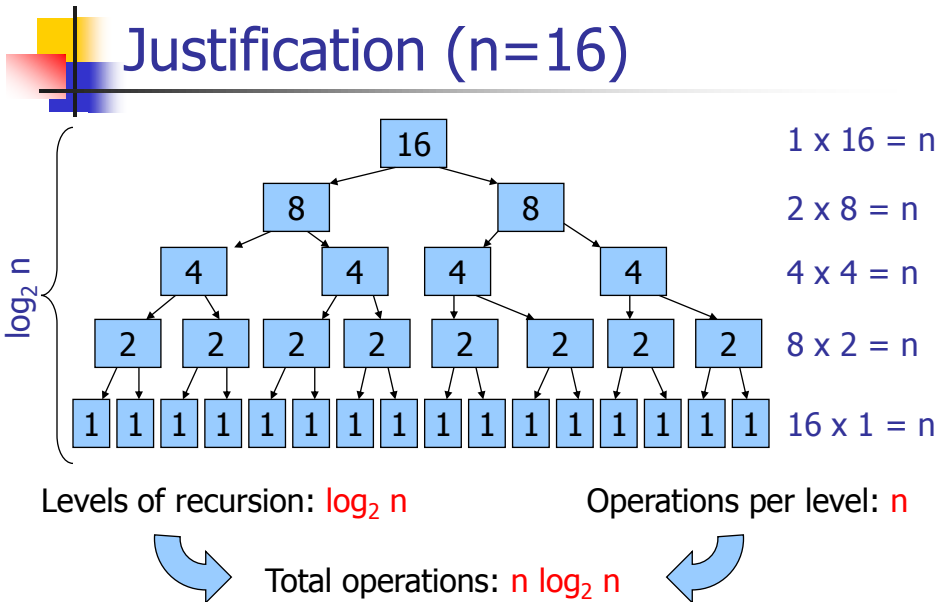
MERGE(A, p, q, r)
1  i ← p ; j ← q+1 ; k ← 1
2  while( i ≤ q and j ≤ r )
3      if( A[i] < A[j] ) B[k] ← A[i] ; i ← i+1
4      else                  B[k] ← A[j] ; j ← j+1
5      k ← k+1
6  while( i ≤ q )    B[k] ← A[i] ; i ← i+1 ; k ← k+1
7  while( j ≤ r )    B[k] ← A[j] ; j ← j+1 ; k ← k+1
8  A[p..r] ← B[1..k-1]

```

Take each time the smallest, between the first two elements not considered yet

Process the "tail" of the remaining vector





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## Note Well

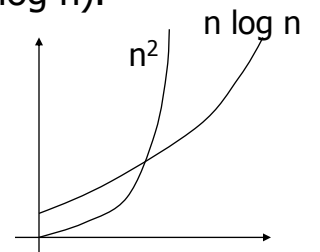
Not all recursive procedures have complexity  $\Theta(n \log n)$ .

For example, merge sort with an asymmetric partition ( $q=p+1$ ), can become an insertion sort, with  $n$  recursive calls, and in each one an element is added to the already ordered set, so the complexity in this case is  $\Theta(n^2)$ .

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## Exercise

Implement Merge Sort algorithm in C.  
Verify that its behavior is  $\Theta(n \log n)$ .



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## Description

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Quicksort is a Divide et Impera algorithm where partitioning is based on *value* (and not on position) of array elements.

At each step, a “pivot” value is chosen, and elements are assigned to one of the two partitions depending on the fact they are less than or more than the pivot value.

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## Solution Steps

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- **Divide**: partitioning  $A[p..r]$ , reordering elements, in two sub-vectors  $A[p..q]$  and  $A[q+1..r]$ , so that the elements of  $A[p..q]$  are all  $\leq$  the elements of  $A[q+1..r]$ . The value of  $q$  is variable.
- **Solve**: recursively order  $A[p..q]$  and  $A[q+1..r]$ .
- **Combine**: as  $A[p..q]$  and  $A[q+1..r]$  are ordered, and first ones are  $\leq$  second ones, do nothing:  $A[p..r]$  is sorted.

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## Quicksort

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```

QUICKSORT( $A, p, r$ )
1  if  $p < r$ 
2      then   $q \leftarrow \text{PARTITION}(A, p, r)$ 
3              QUICKSORT( $A, p, q$ )
4              QUICKSORT( $A, q+1, r$ )

```

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## Partition

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```

PARTITION( $A, p, r$ )
1   $x \leftarrow A[p]$     ▷ Pivot element
2   $i \leftarrow p - 1$ 
3   $j \leftarrow r + 1$ 
4  while true
5      do repeat  $j \leftarrow j-1$ 
6          until  $A[j] \leq x$ 
7      repeat  $i \leftarrow i+1$ 
8          until  $A[i] \geq x$ 
9      if  $i < j$ 
10         then swap  $A[i] \leftrightarrow A[j]$ 
11         else return  $j$ 

```

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## Exercise 1

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Show how Partition works on this sequence of numbers:

$A = \{ 8, 13, 11, 19, 12, 9, 5, 7, 4, 2, 6, 1 \}$

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## Solution 1

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$A = \{ 8, 13, 11, 19, 12, 9, 5, 7, 4, 2, 6, 1 \}$

$x = 8$

$A = \{ \underline{8}, 13, 11, 19, 12, 9, 5, 7, 4, 2, 6, \underline{1} \}$

$A = \{ 1, \underline{13}, 11, 19, 12, 9, 5, 7, 4, 2, \underline{6}, 8 \}$

$A = \{ 1, 6, \underline{11}, 19, 12, 9, 5, 7, 4, \underline{2}, 13, 8 \}$

$A = \{ 1, 6, 2, \underline{19}, 12, 9, 5, 7, \underline{4}, 11, 13, 8 \}$

$A = \{ 1, 6, 2, 4, \underline{12}, 9, 5, \underline{7}, 19, 11, 13, 8 \}$

$A = \{ 1, 6, 2, 4, 7, \underline{9}, \underline{5}, 12, 19, 11, 13, 8 \}$

$A = \{ 1, 6, 2, 4, 7, 5, 9, 12, 19, 11, 13, 8 \}$

Partition:

$A = \{ \underline{1}, \underline{6}, \underline{2}, \underline{4}, \underline{7}, \underline{5}, \underline{9}, \underline{12}, \underline{19}, \underline{11}, \underline{13}, \underline{8} \}$

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## Exercise

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Implement in C the Partition procedure, verifying its behavior.

Which value is returned as partition point  $q$  on random arrays? And what happens on sorted arrays? And on arrays sorted in inverse order?

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## Exercise

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In the same array:

$A = \{ 13, 19, 9, 5, 12, 8, 7, 4, 11, 2, 6, 1 \}$

Which would be a better choice for pivot  $x$ ?

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## Performance

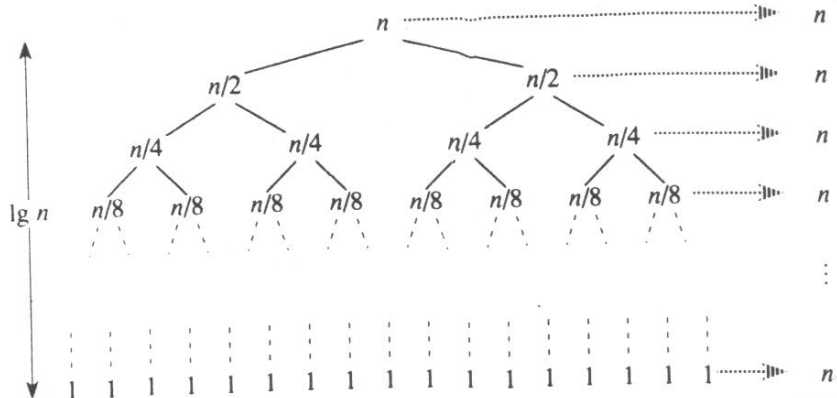
The efficiency of Quicksort totally depends on the quality of partitioning.

- If partitioning is optimal ( $q \sim (p+r)/2$ ), the algorithm is  $O(n \log n)$
- If partitioning is the worst case ( $q \sim p$  or  $q \sim r$ ), the algorithm becomes  $O(n^2)$

Partitioning quality depends on the good choice of the pivot value.

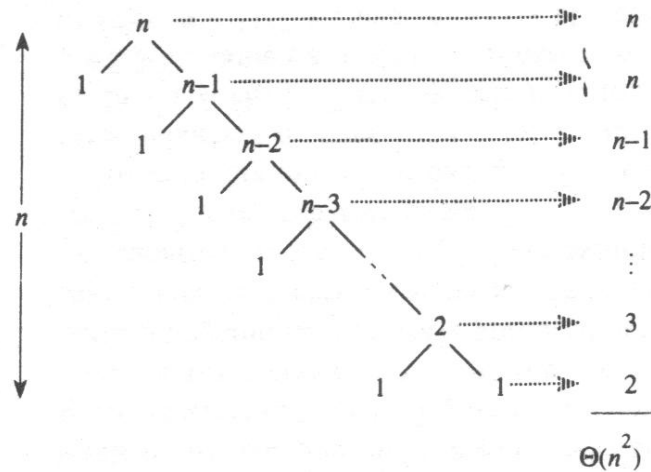
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## Best case



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## Worst Case



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## Pivot choice

Worst case happens when one partition has  $n-1$  elements and the other just one, i.e. when pivot is the maximum or minimum.

An already sorted array falls into the worst case!  
Same case for an array with inverse ordering.

Best case is when the array is as most random as possible.

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## Quicksort randomized

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PARTITION-RANDOM( $A, p, r$ )

▷ generate a random number between  $p$  and  $r$

1  $i \leftarrow \text{RANDOM}(p, r)$

▷ avoid worst case!

2 swaps  $A[i] \leftrightarrow A[1]$

▷ apply partitioning

3 **return** PARTITION ( $A, p, r$ )

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## Choosing the pivot

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- Choose a random value (PARTITION-RANDOM)
- Choose the element in the middle:
  - $x \leftarrow A[(p+r)/2]$
- Choose the mean value between min and max
- Choose the mean value among 3 random elements in the array
- ...

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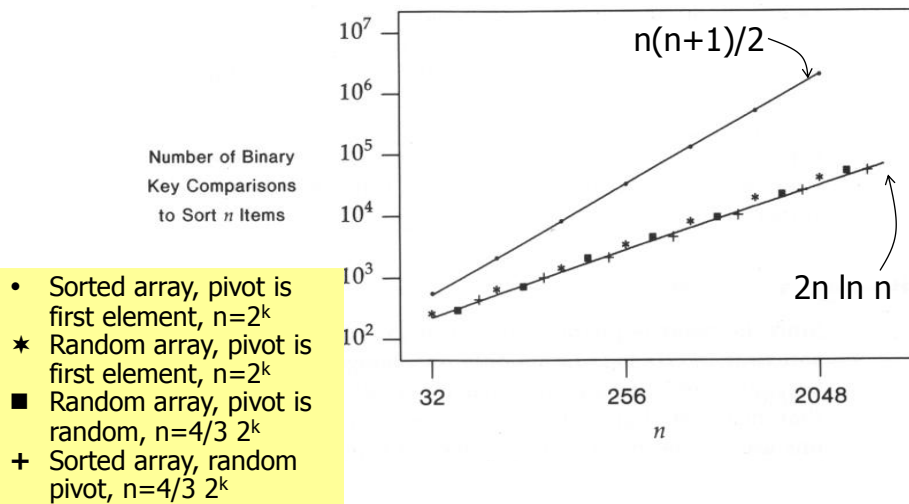
## Complexity

So far, Quicksort behavior is:

- $O(n^2)$  in general,  $O(n \log n)$  in average
- $\Theta(n^2)$  in worst case (which must be avoided)
- $\Theta(n \log n)$  in average and best cases

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## Examples



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## Exercise

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Provide an implementation in C language of Quicksort algorithm, and try different pivot choices.

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## Summary

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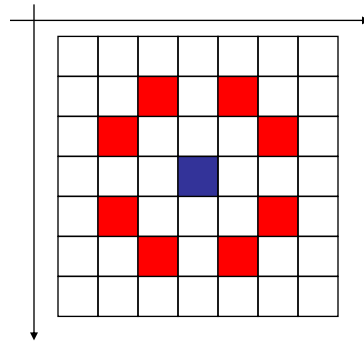
- Definition of recursion and *divide et impera*
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## The Knight Tour

Find a sequence of moves for the knight on a chessboard ( $N \times N$  dimensions), in order to make the knight passing on each square ONLY ONCE.

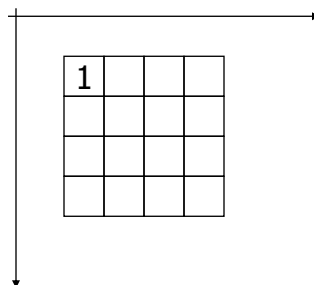
Remember that a knight can move in 8 ways at most.



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## Analysis

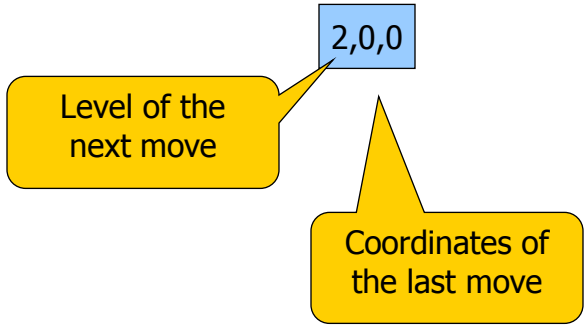
Suppose  $N=4$ .



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# Move 1

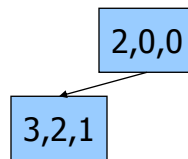
1			



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# Move 2

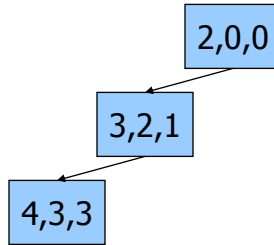
1			
	2		



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# Move 3

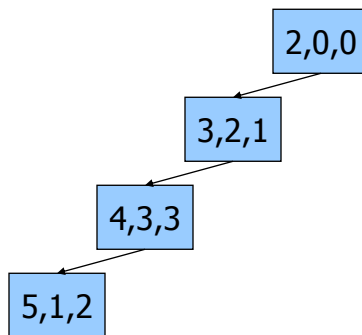
1			
	2		
			3



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# Move 4

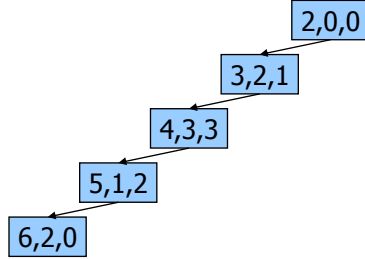
1			
		4	
	2		
			3



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# Move 5

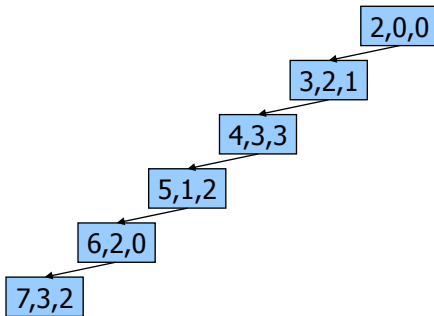
1			
		4	
5	2		
			3



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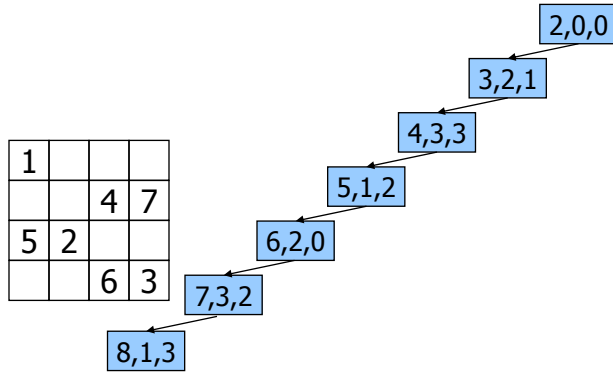
# Move 6

1			
		4	
5	2		
		6	3



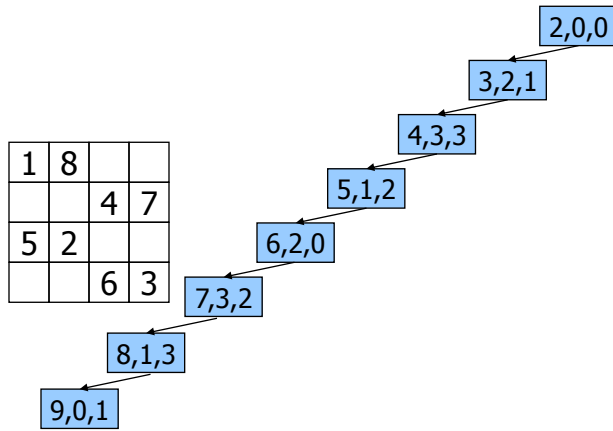
72

# Move 7



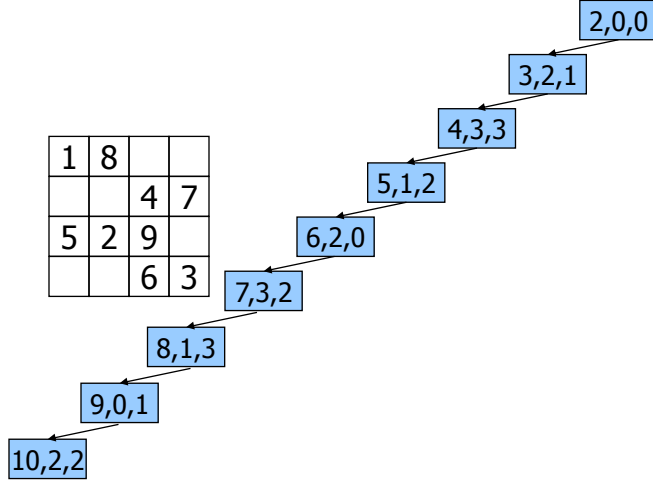
73

# Move 8



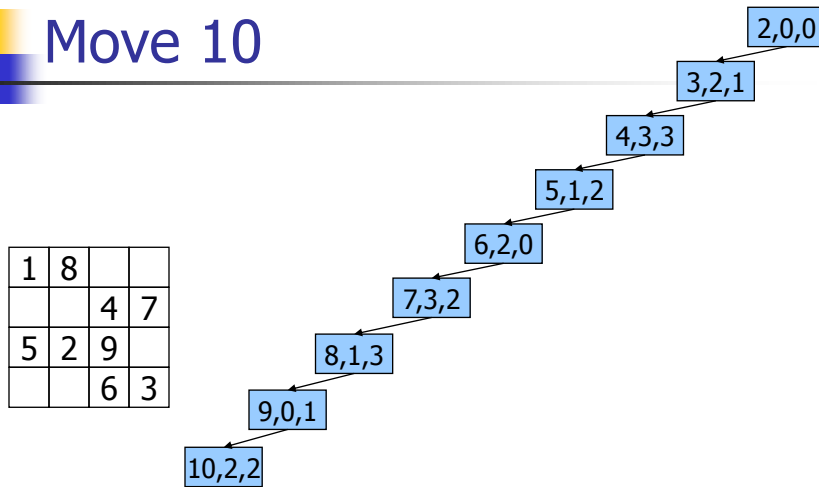
74

# Move 9

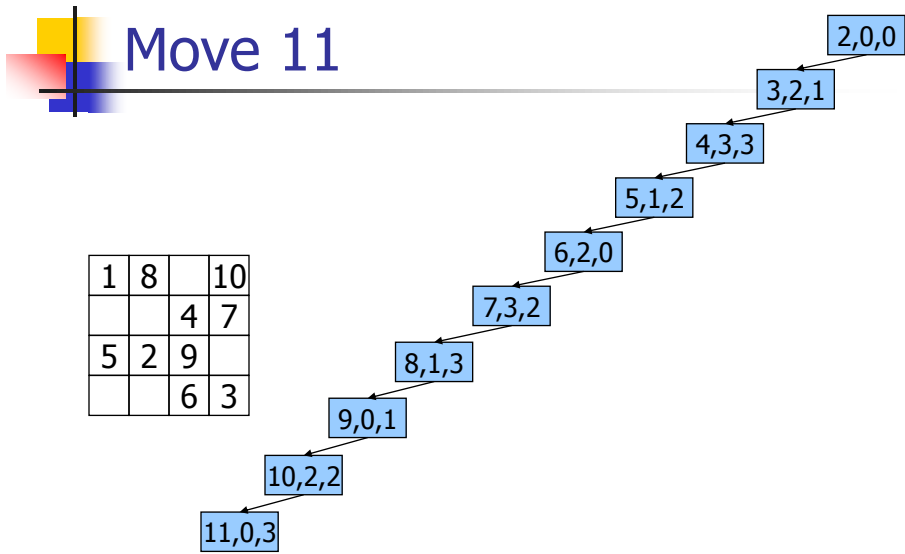


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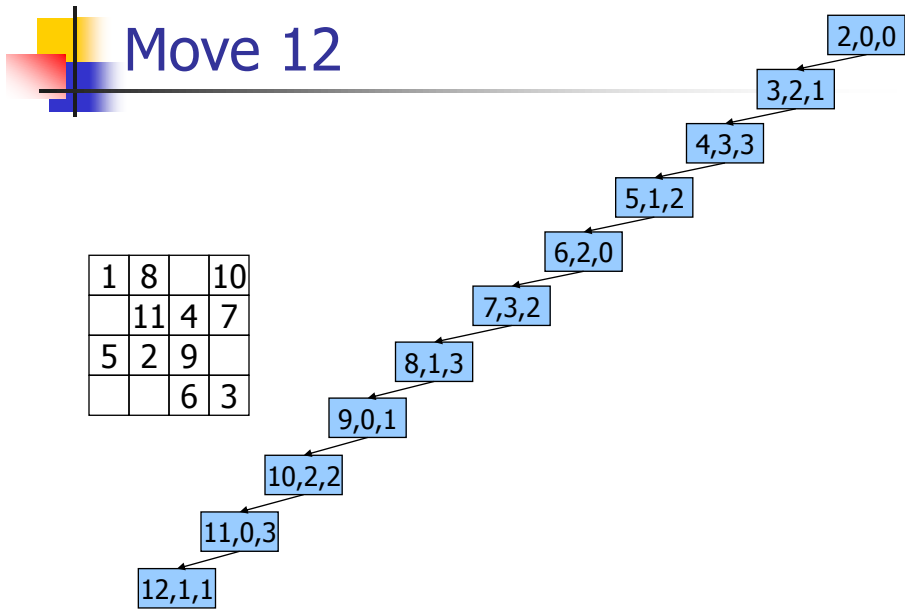
# Move 10



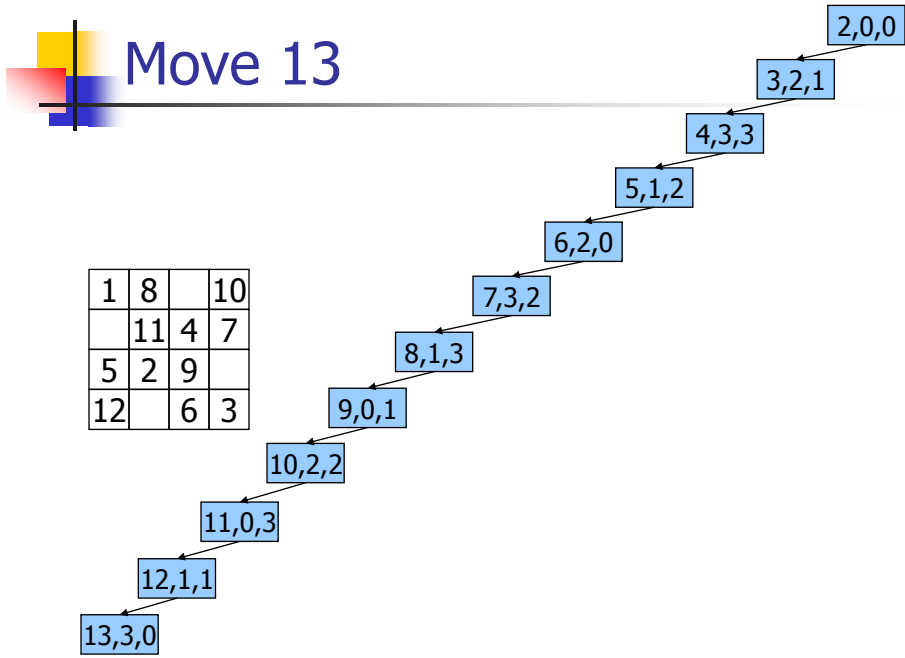
76



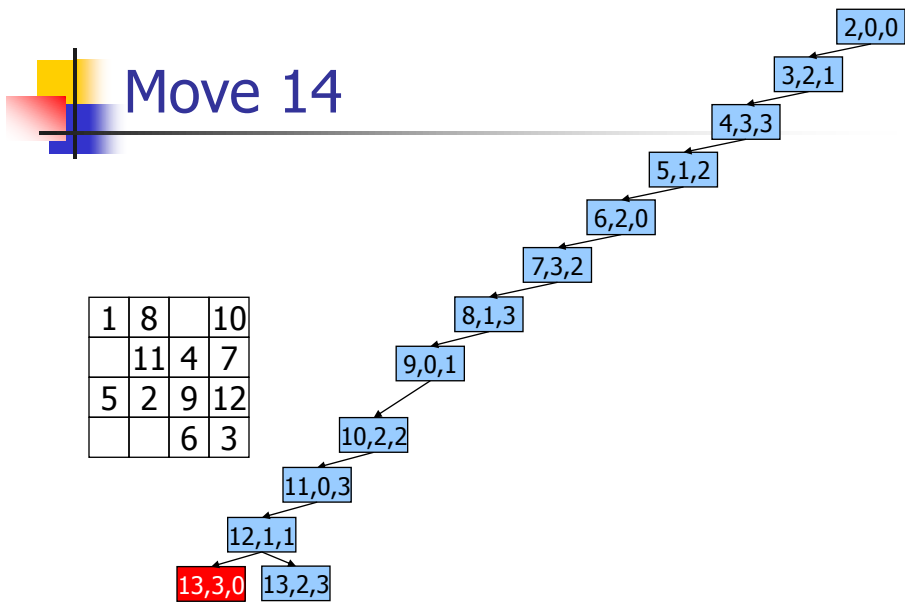
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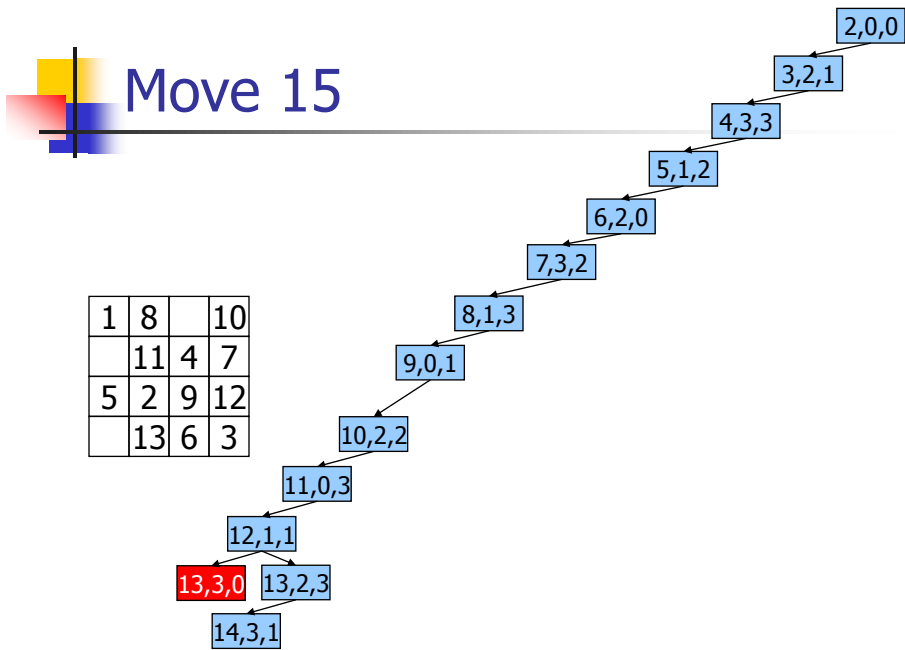
78



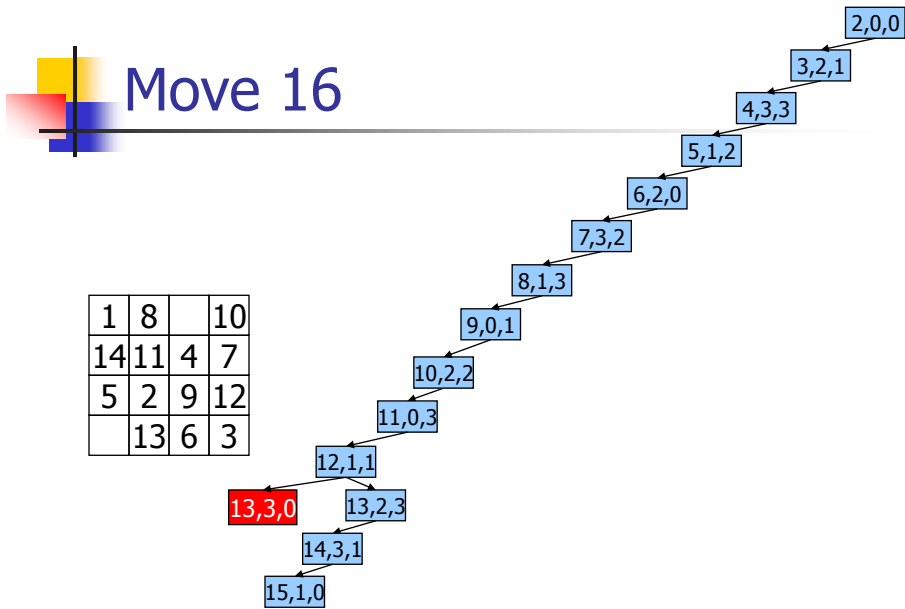
79



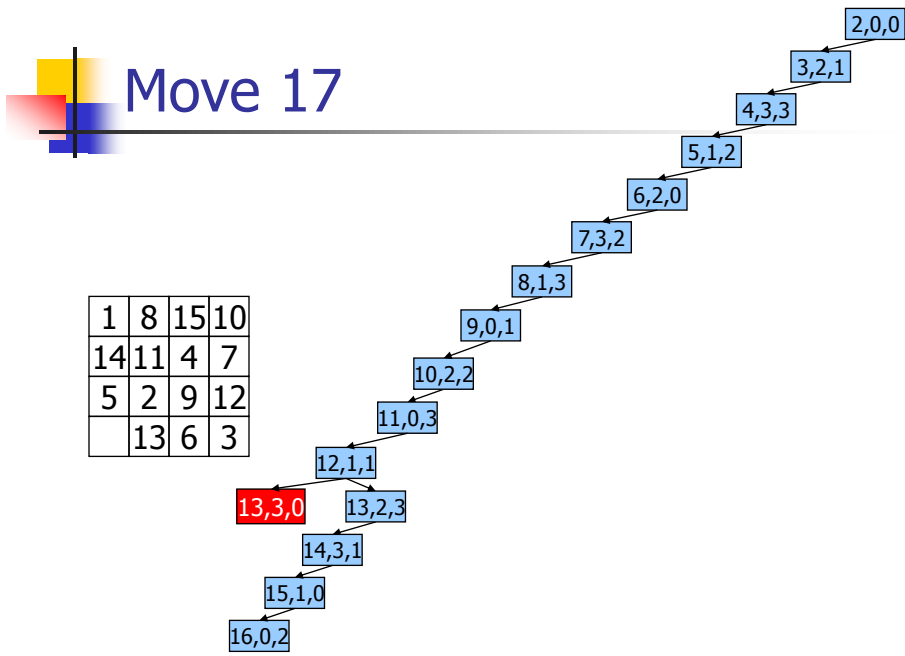
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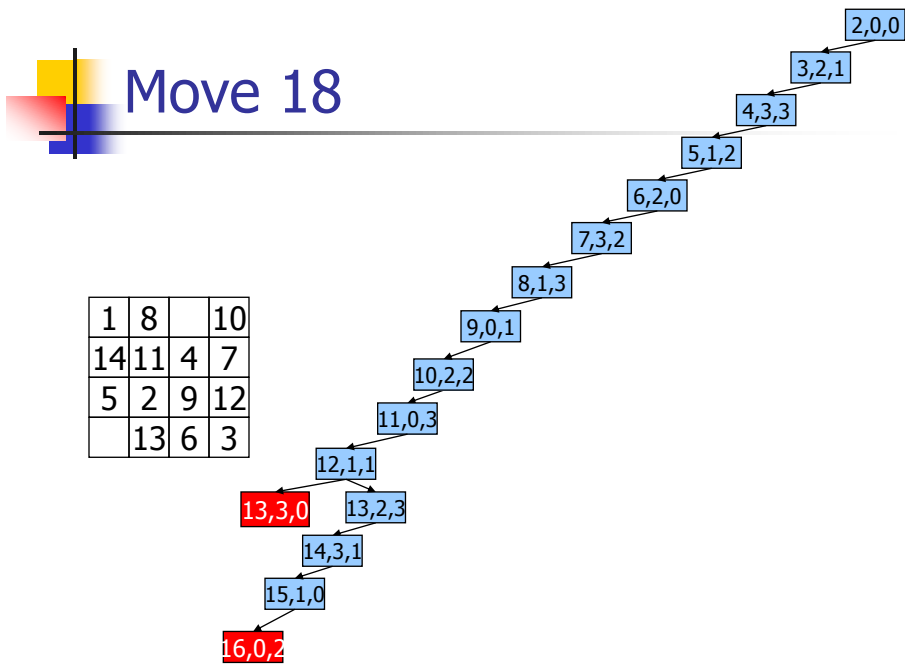
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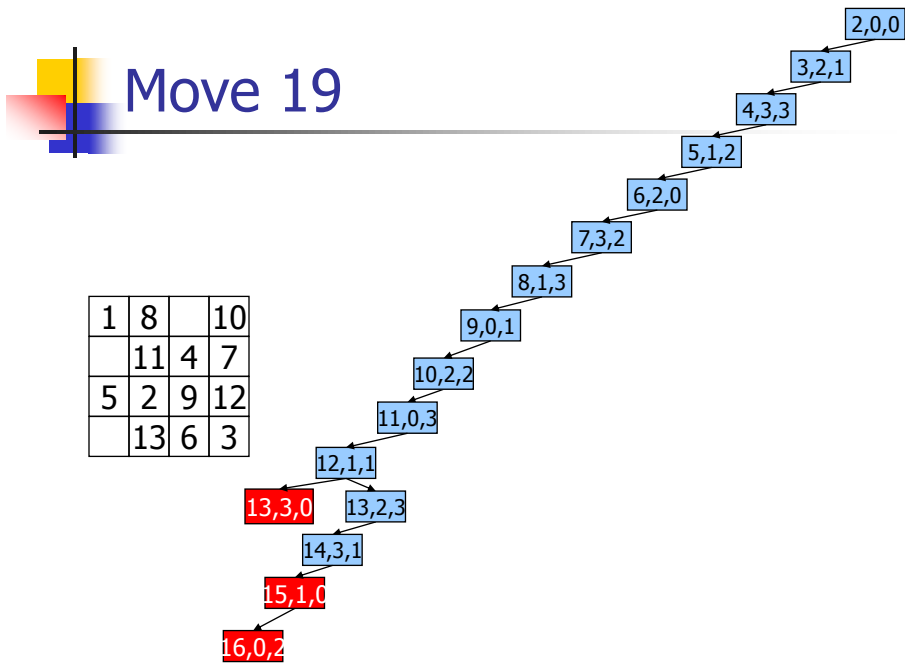
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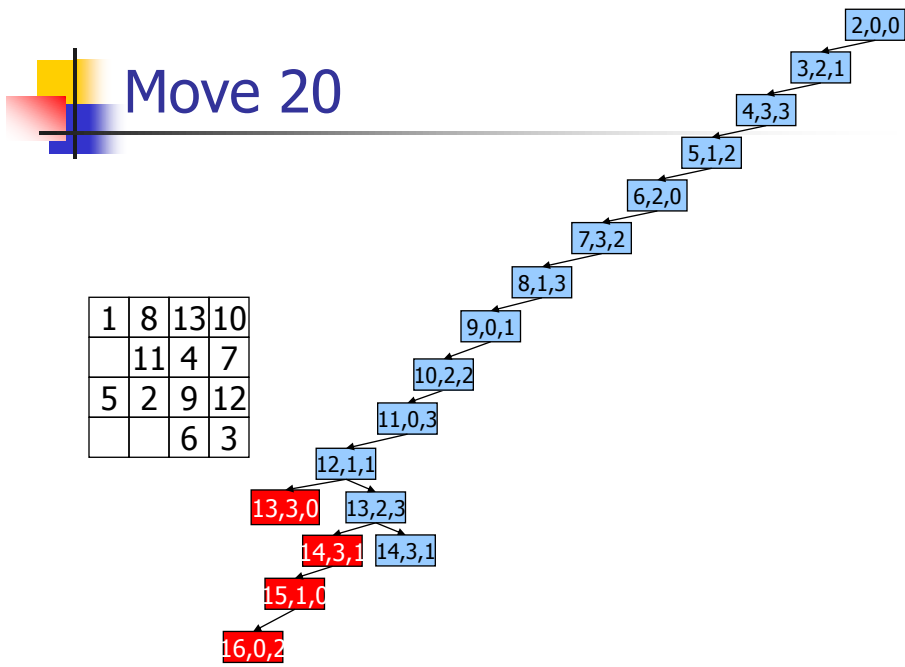
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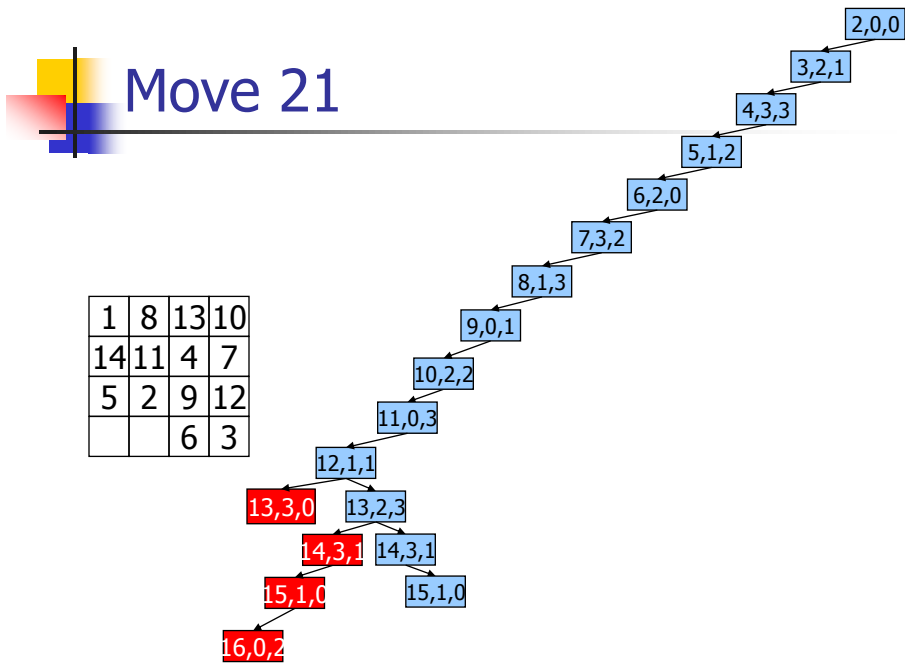
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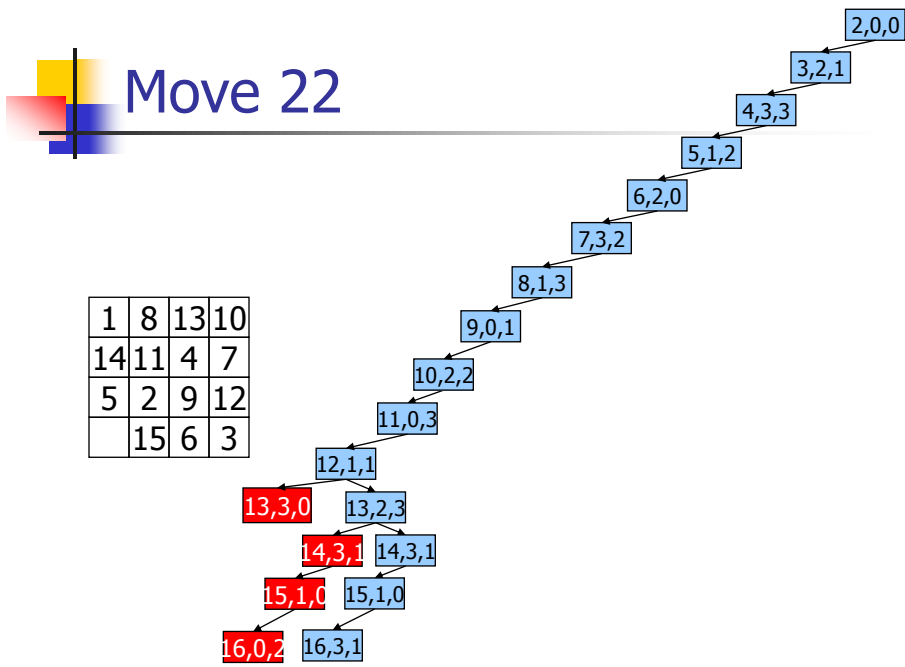
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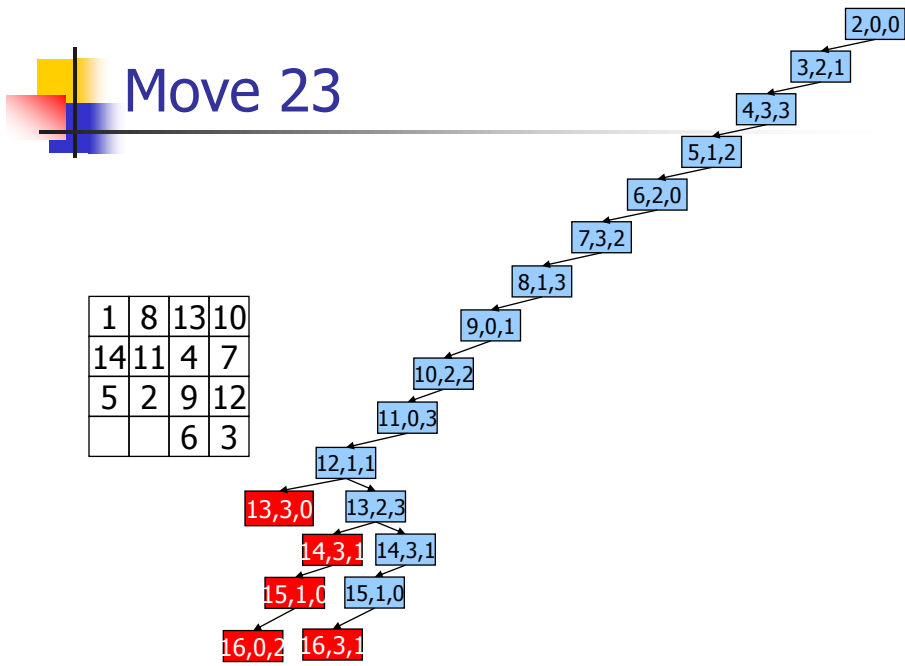
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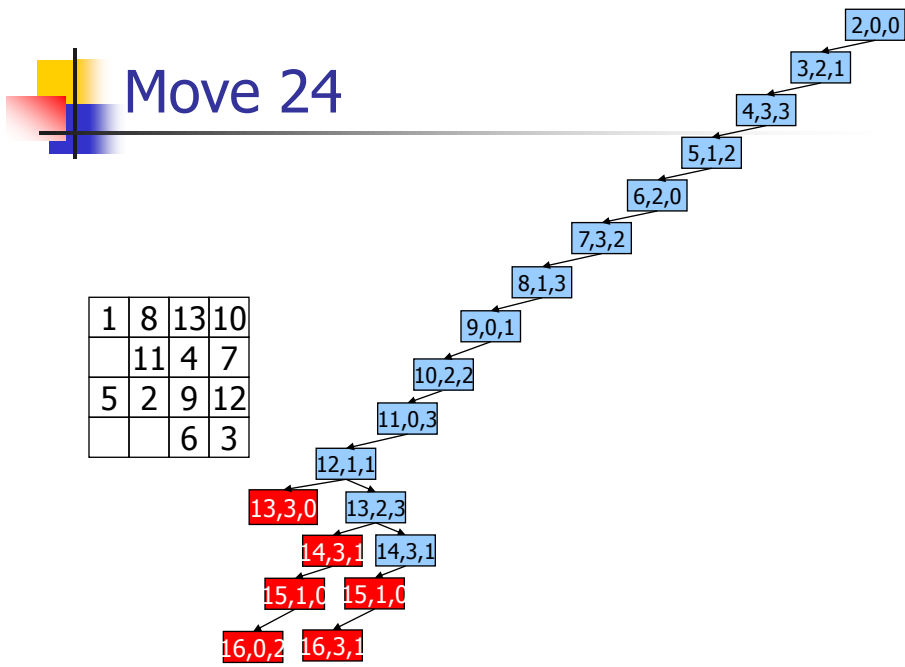
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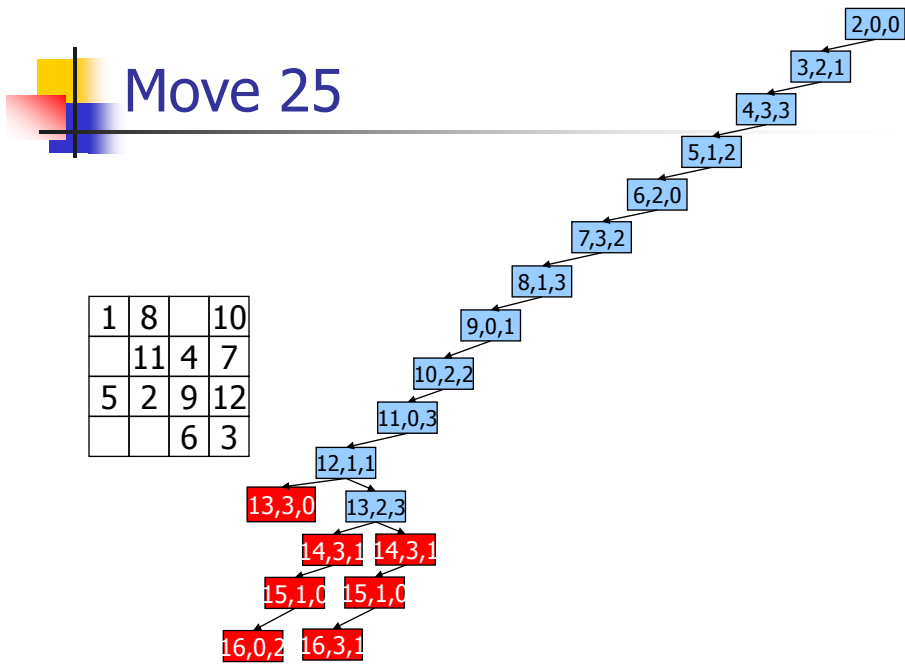
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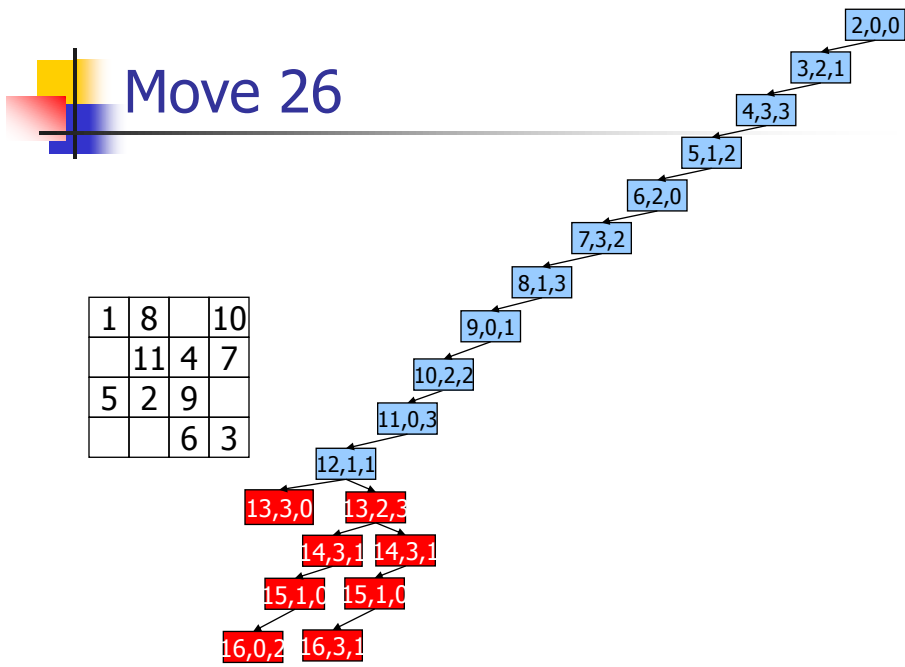
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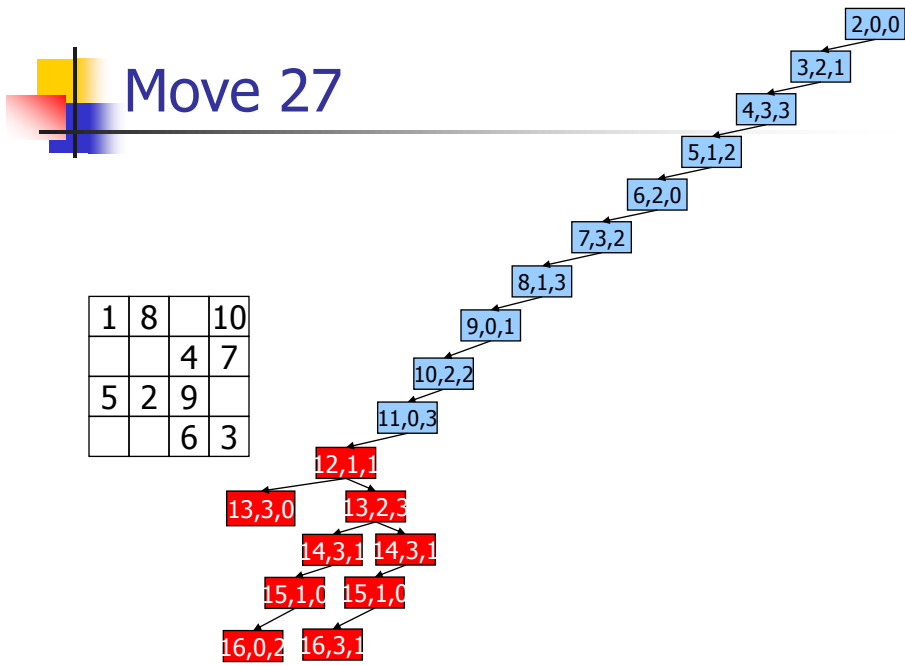
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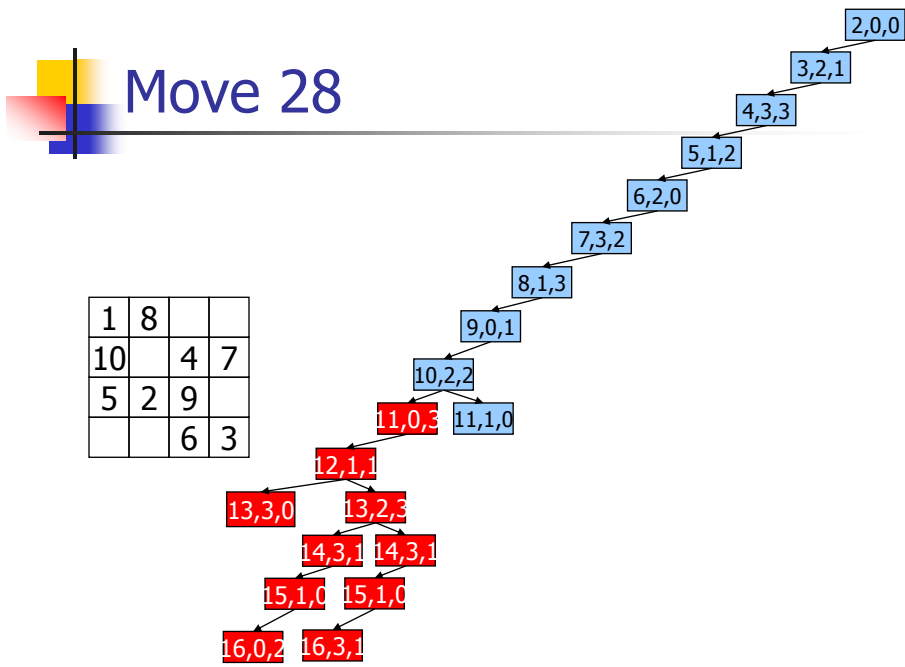
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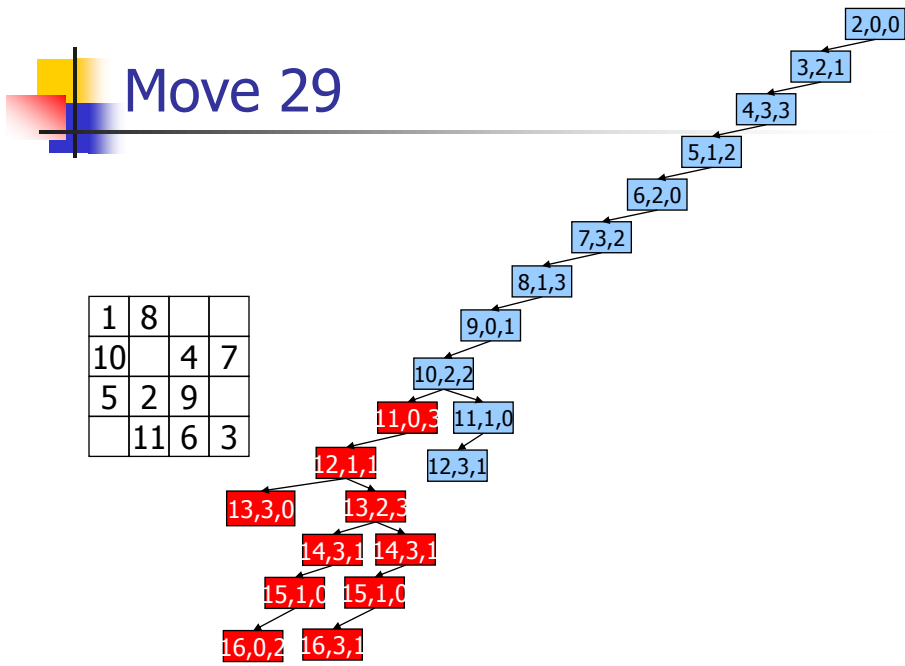
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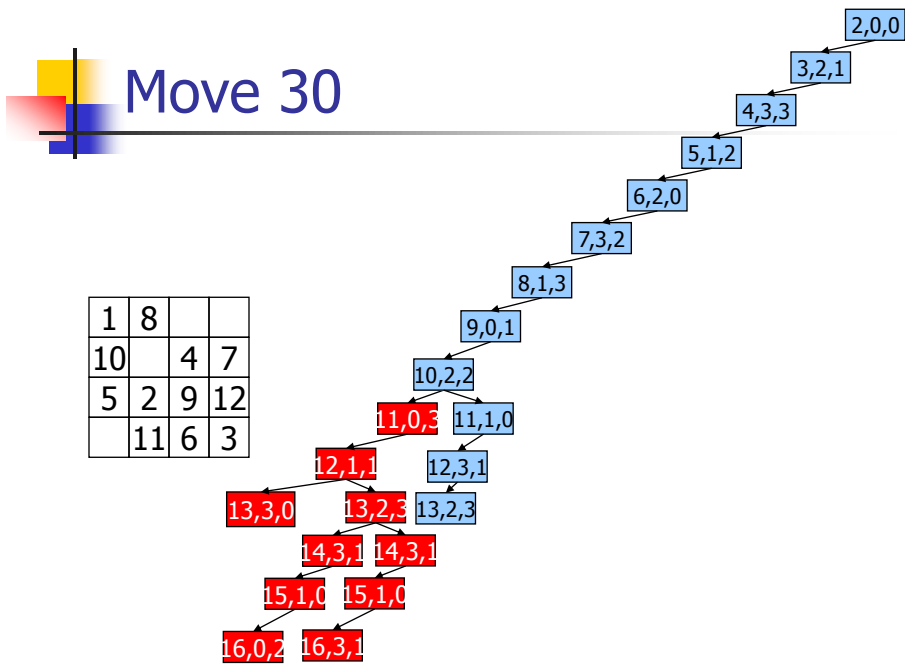
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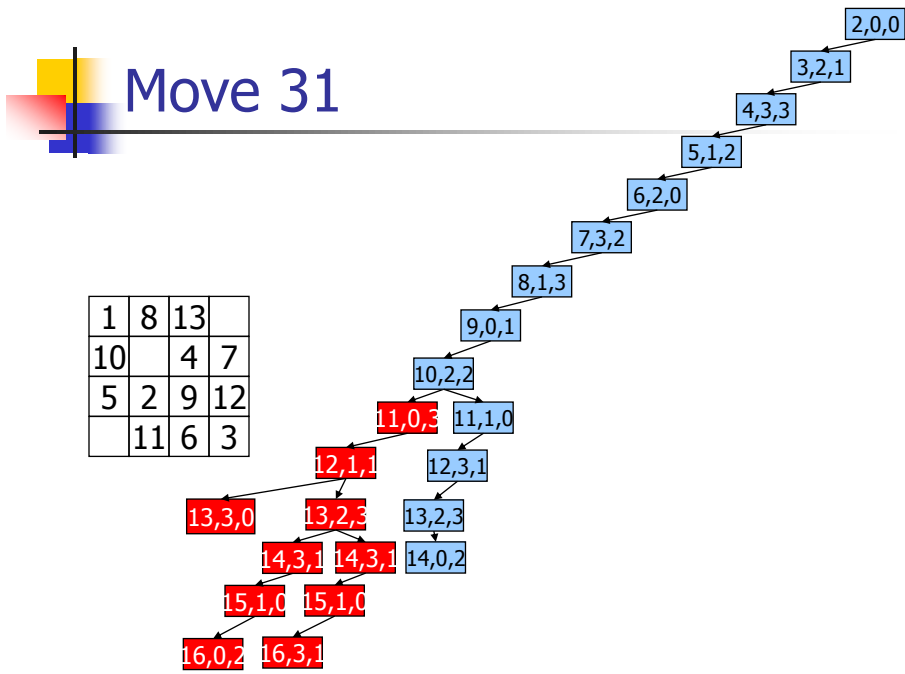
94



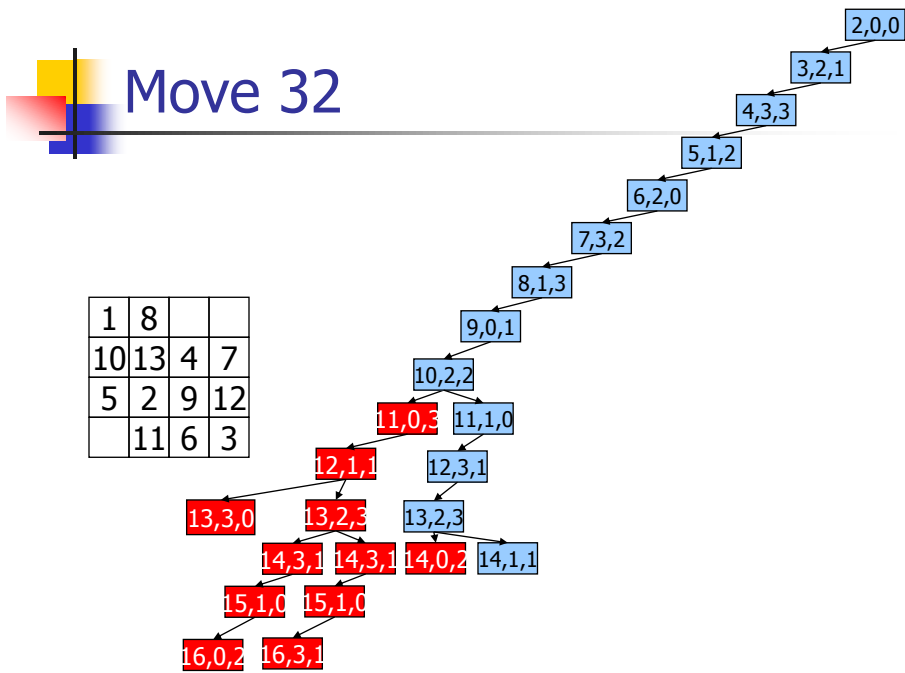
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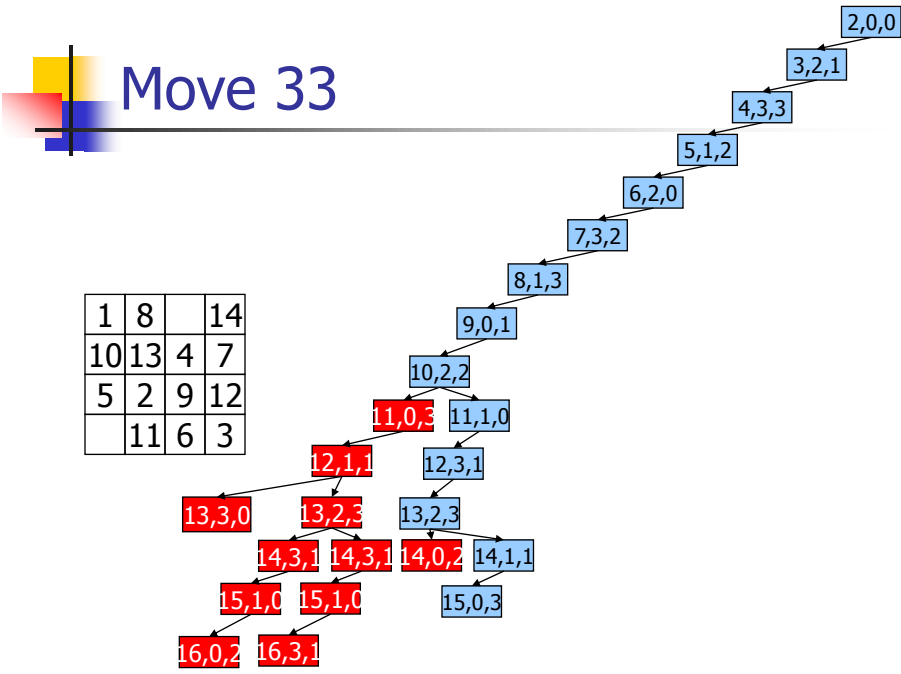
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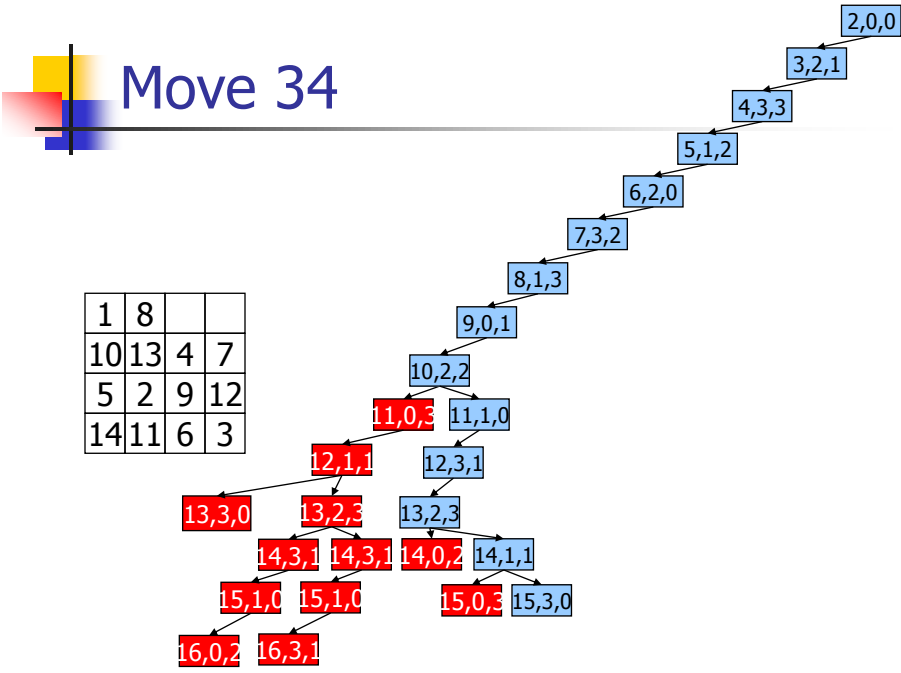
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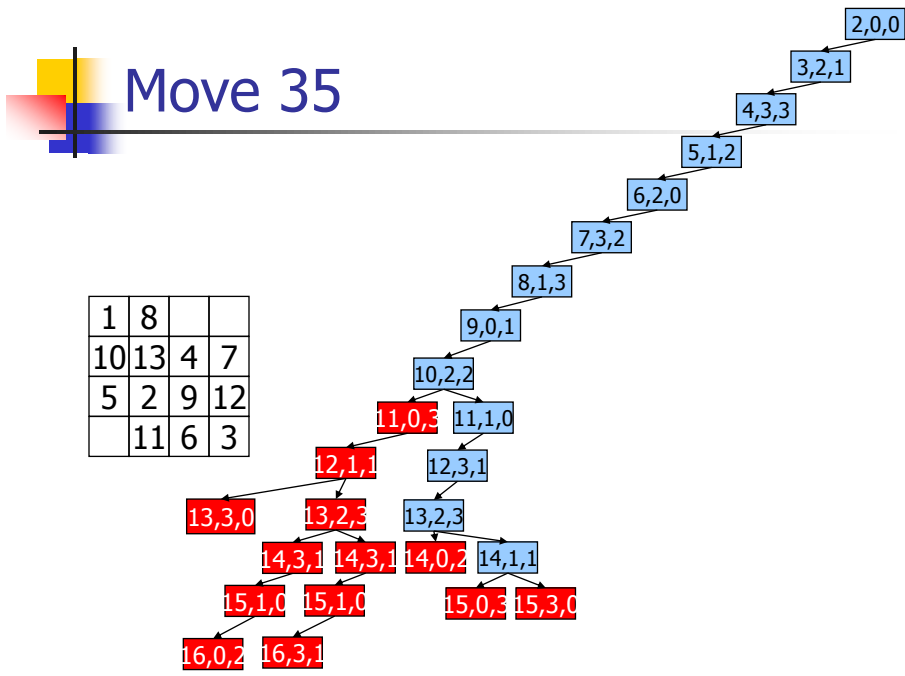
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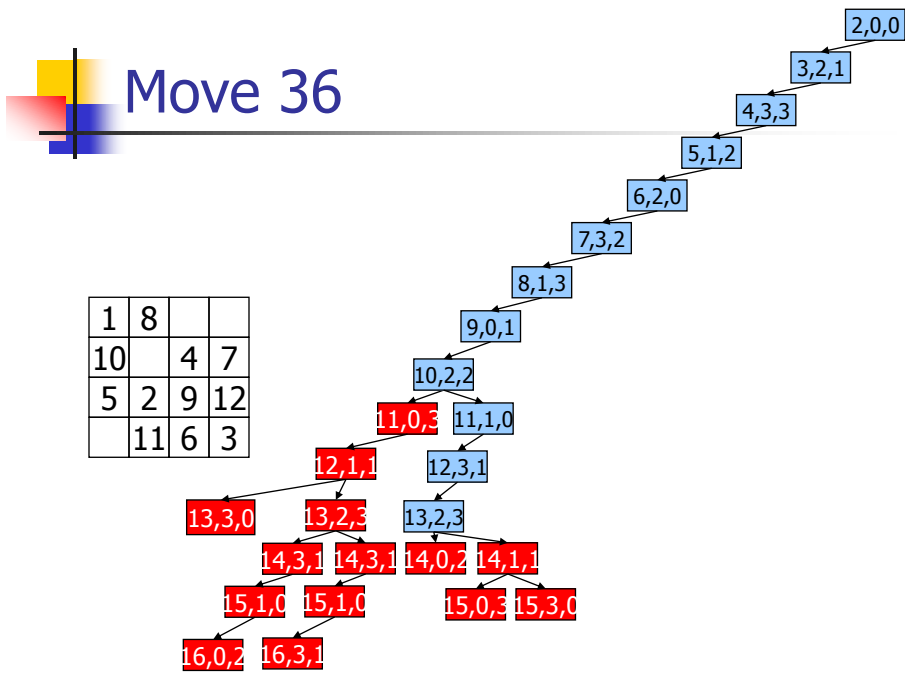
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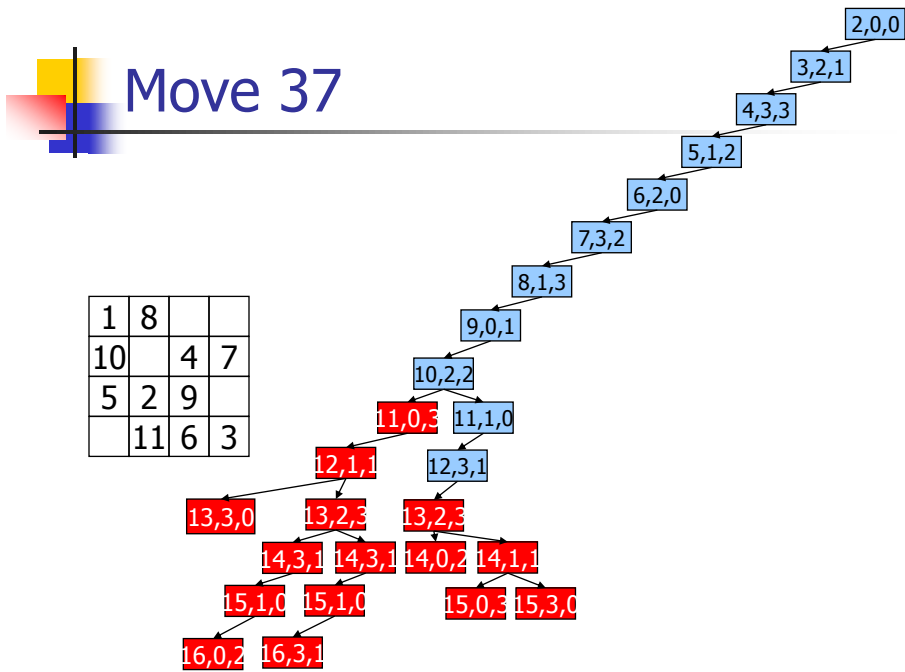
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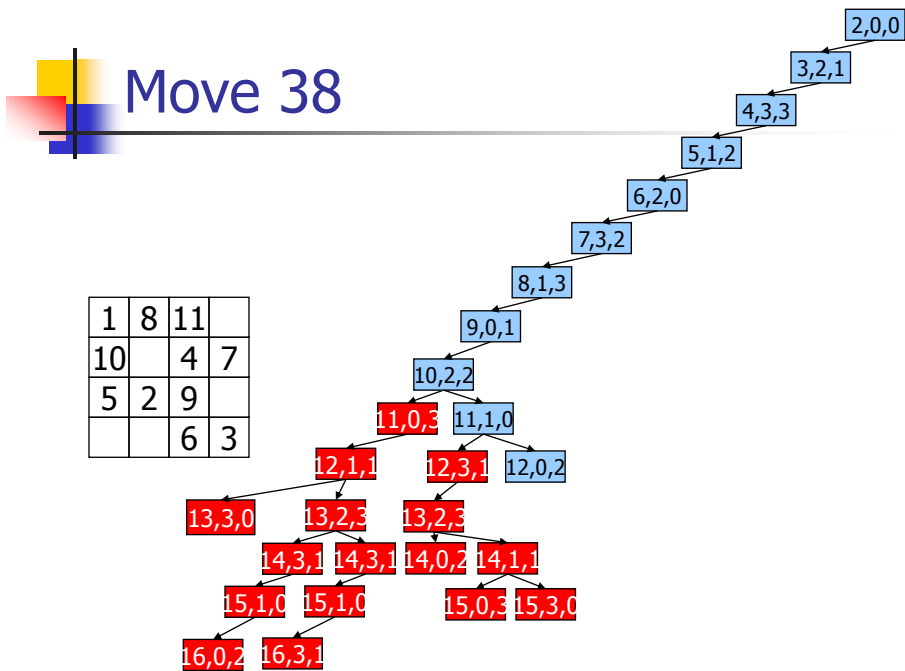
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## Exercise

---

Write a solution in C for the Knight tour problem.

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## Complexity

---

- Number of moves at each step is at most 8.
- Number of steps is  $N^2$ .
- Thus the tree has a number of nodes  $\leq 8^{N^2}$ .
- In the worst case
  - The solution is the rightmost leaf
  - The tree is completed, i.e. all the solutions space has been analyzed.
- In this case the number of recursive calls before to find the solution is  $\Theta(8^{N^2})$ .

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## Inizialization

```
#define DIM 6
int  a[8],b[8],board[DIM][DIM];
void main(void)
{ int    i,    j,    result;
  a[0]=2; b[0]=1; a[1]=1; b[1]=2;
  a[2]=-1; b[2]=2; a[3]=-2; b[3]=1;
  a[4]=-2; b[4]=-1; a[5]=-1; b[5]=-2;
  a[6]=1; b[6]=-2; a[7]=2; b[7]=-1;

  for( i=0; i<DIM; i++)
    for( j=0; j<DIM; j++)
      board[i][j] = 0;
```

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## Main program

```
board[0][0] = 1;
result = moves( 2, 0, 0);
if( result == 1)
{ for( i=0; i<DIM; i++)
  { for( j=0; j<DIM; j++)
    printf( "%2d ",board[i][j]);
    printf( "\n");
  }
} else
{ printf( "Solution not found\n");
}
}
```

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## Moves (1)

```
int moves( int move, int posx, int posy)
{  int    i,ret,newposx,newposy;
   if( move == (DIM*DIM+1))
       return(1);
   for( i=0; i<8; i++)
   {  newposx = posx + a[i];
      newposy = posy + b[i];
```

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## Moves (2)

```
   if( (newposx<DIM) && (newposx>=0) &&
       (newposy<DIM) && (newposy>=0))
   {  if( board[newposx][newposy] == 0)
      {  board[newposx][newposy]=Move;
         ret=moves(move+1, newposx,newposy);
         if( ret == 0)
             board[newposx][newposy]=0;
         else
             return(1);
      }
   }
   return(0);
}
```

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## X value

---

When using boolean functions, the symbol X is used to represent whichever boolean value, i.e. 0 or 1.

For example, the OR function returns 1 for input values 01, 10 and 11, and it can be written more concisely X1, 1X.

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## Split

---

The program receives an input string made of 0, 1 or X and it must generate all possible combinations matching the input expression.

Example: if input is 01X0X, program generates these combinations

01000

01001

01100

01101

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## Solution

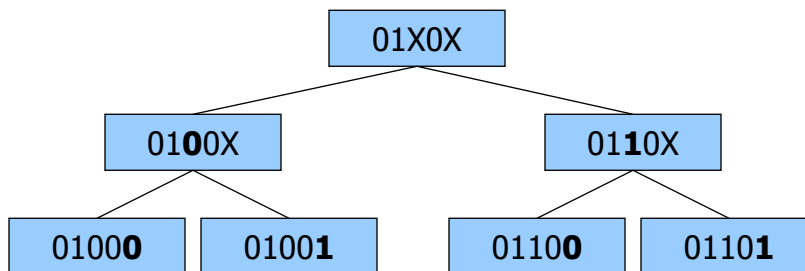
It is based on a recursive algorithm exploring the solution space, i.e. it explores the whole tree of possible combinations matching the input string, by transforming each X symbol in 0 and then in 1.

The number of leaves of such tree (each one corresponding to a possible combination) is equal to  $2^N$ , where N is the number of X symbols in the input string.

The tree's height is  $N+1$ .

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## Combinations Tree



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C

```

#include <stdio.h>

#define MAX 100

char  ibuff[MAX],
      obuff[MAX];
int   index,
      len;

void split(int);

void main(void)
{
    gets( ibuff);
    len = strlen( ibuff);
    split(0);
}

```

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C (2)

```

switch( ibuff[index])
{
    case '0':
    case '1':
        obuff[index] = ibuff[index];
        split(index+1);
        return;
    case 'X':
        obuff[index] = '0';
        split(index+1);
        obuff[index] = '1';
        split(index+1);
        return;
}

void split(int index)
{
    if( index == len)
    {
        obuff[index]='\0';
        printf( "%s\n", obuff);
        return;
    }
}

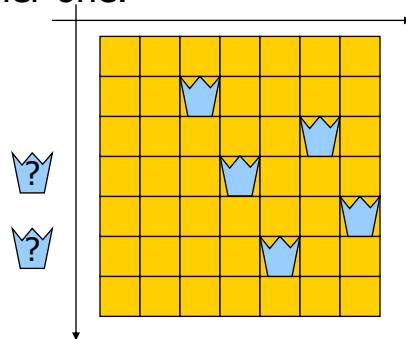
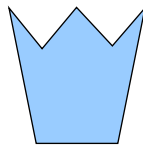
```

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## The N queens problem

Given a  $N \times N$  board, and given  $N$  queens of chess game.

Find a layout of the  $N$  queens so that no one can be taken by another one.

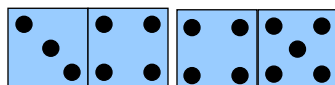


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## Domino Game

Given  $N$  tiles of Domino game, each one has two faces, labeled with a number between 1 and 6.

Find the longest correct sequence of tiles, so that adjacent faces of consecutive tiles must have the same value.



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