

# Introduction

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## Outline

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- Motivation
- Failures
- Definition and concepts
- Process and product properties
- Principles
- SE Approaches

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# Motivation

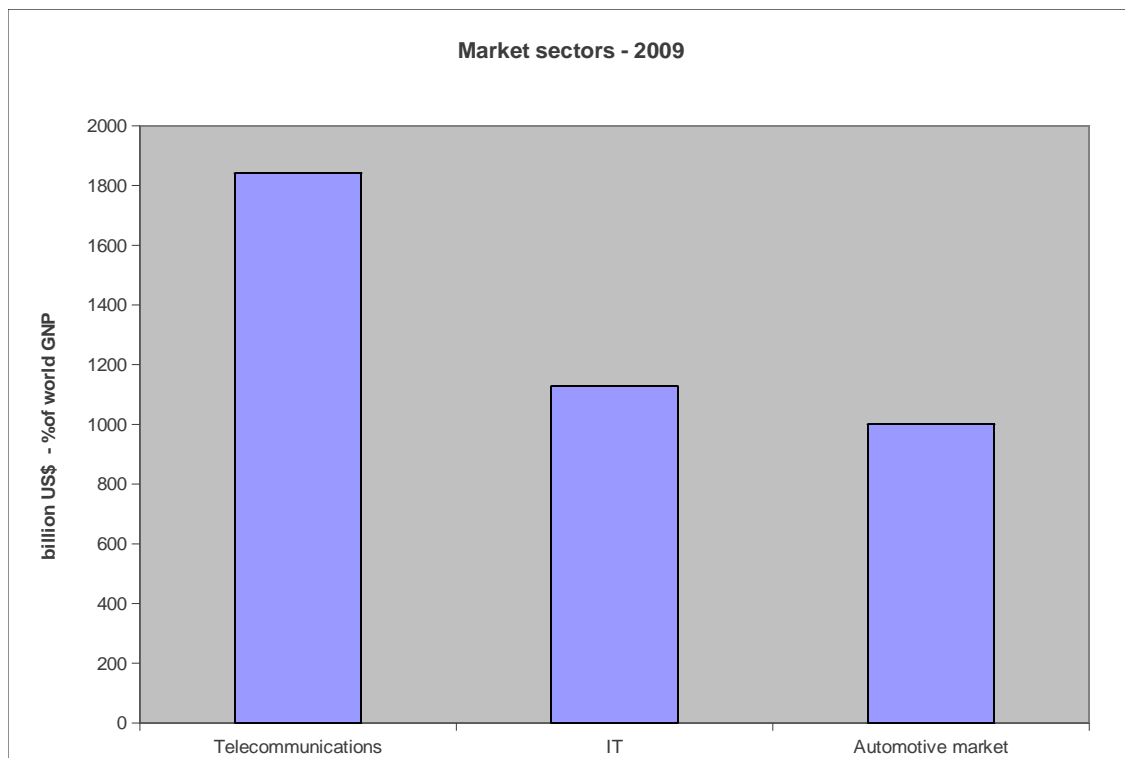
## Software and the economy

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- The economies of ALL developed nations are dependent on software.
- More and more systems are software controlled
- Expenditure on software represents a significant fraction of GNP in all developed countries.

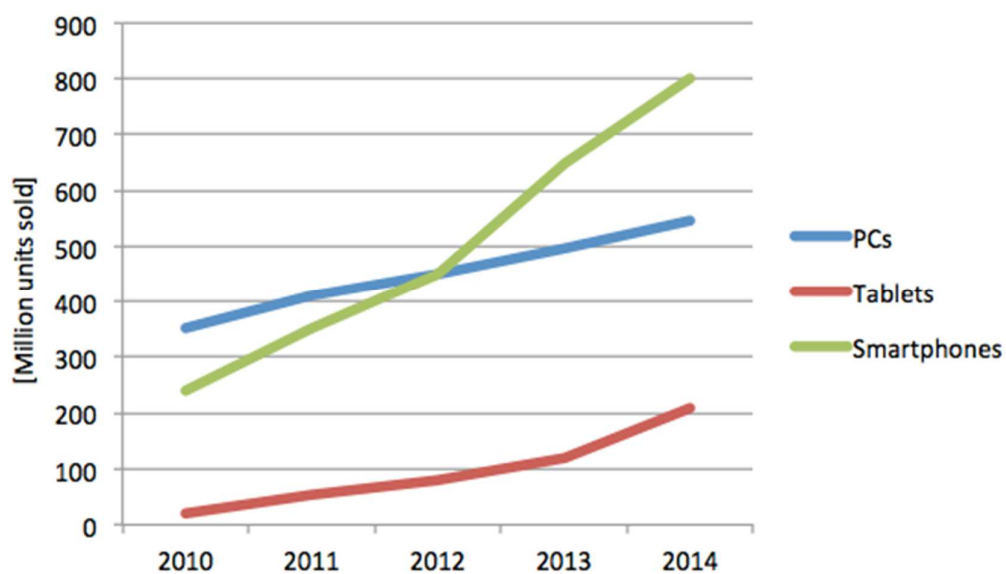
# ICT Market – world – 2009

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## Sales

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# Sales, 2010

CPUS: 10Gb

Mobile phones: 1G

Computers: 300M

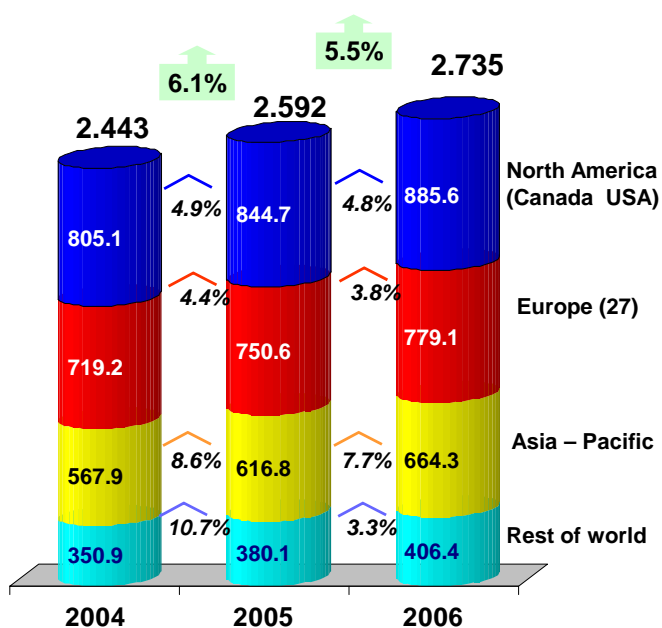
Tv sets: 250M

Vehicles: 60M

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## ICT market, per area

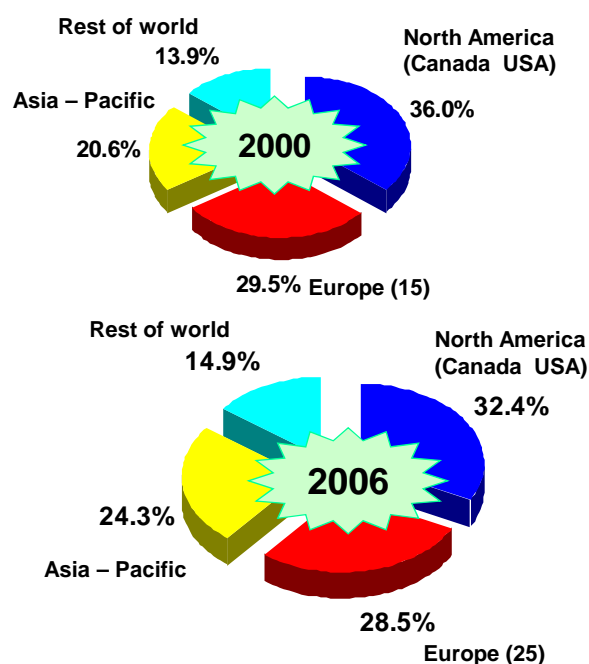
Mld\$, yearly variations



Fonte: AlTech - Assinform / NetConsulting

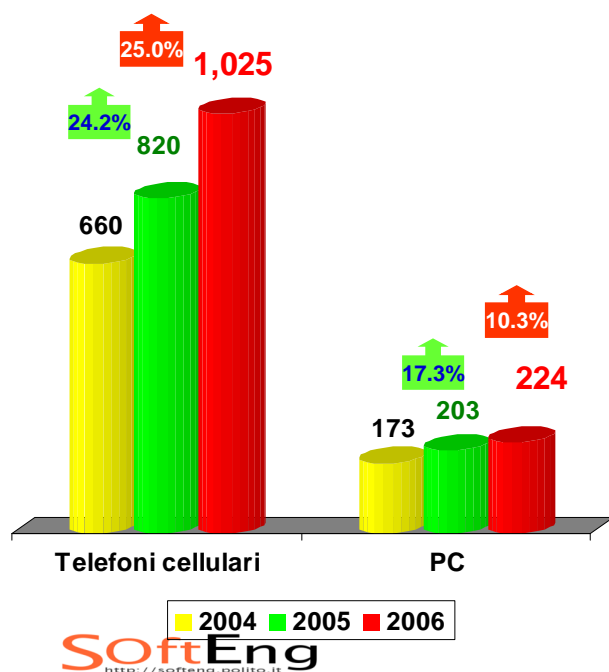
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world ICT market shares

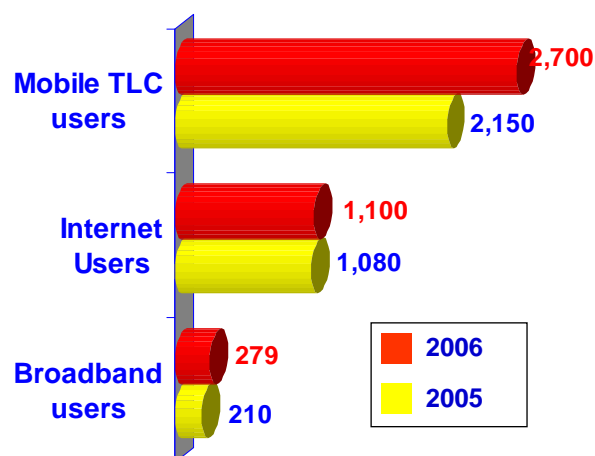


# ICT Diffusion, world

Million Units sold - world  
(2004 vs 2006)



M Units 2006 - world

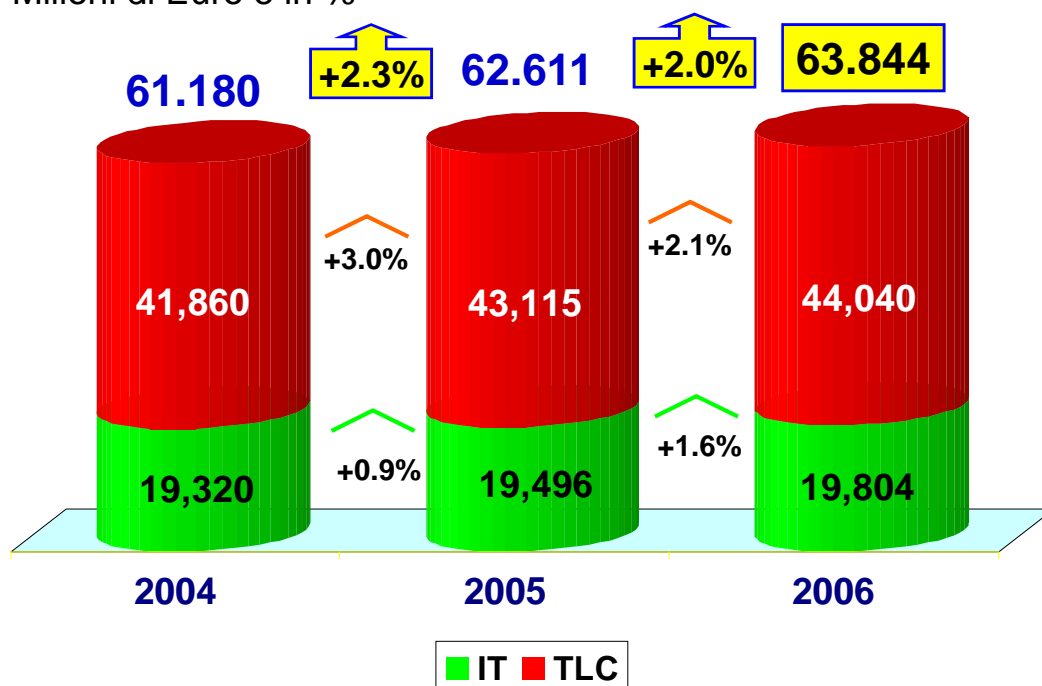


Fonte: AlTech - Assinform / NetConsulting

## Italy

# ICT Market

Valori in Milioni di Euro e in %

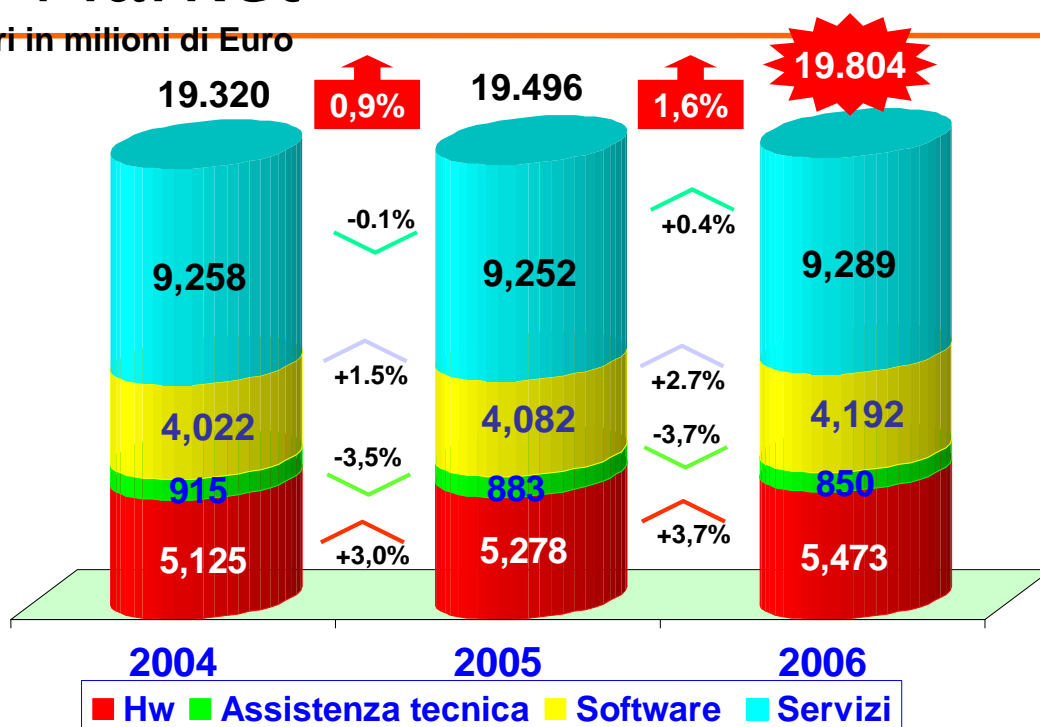


Fonte: AlTech - Assinform / NetConsulting

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# IT Market

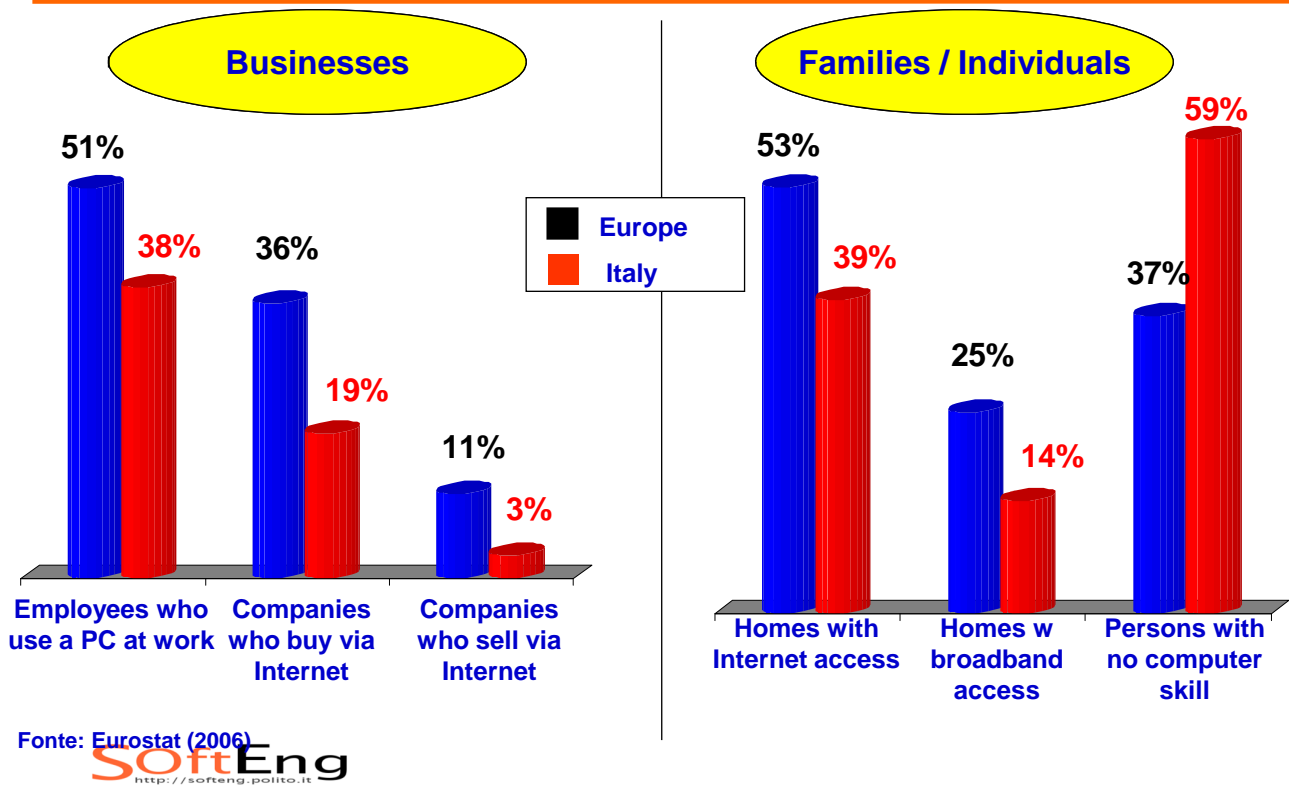
Valori in milioni di Euro



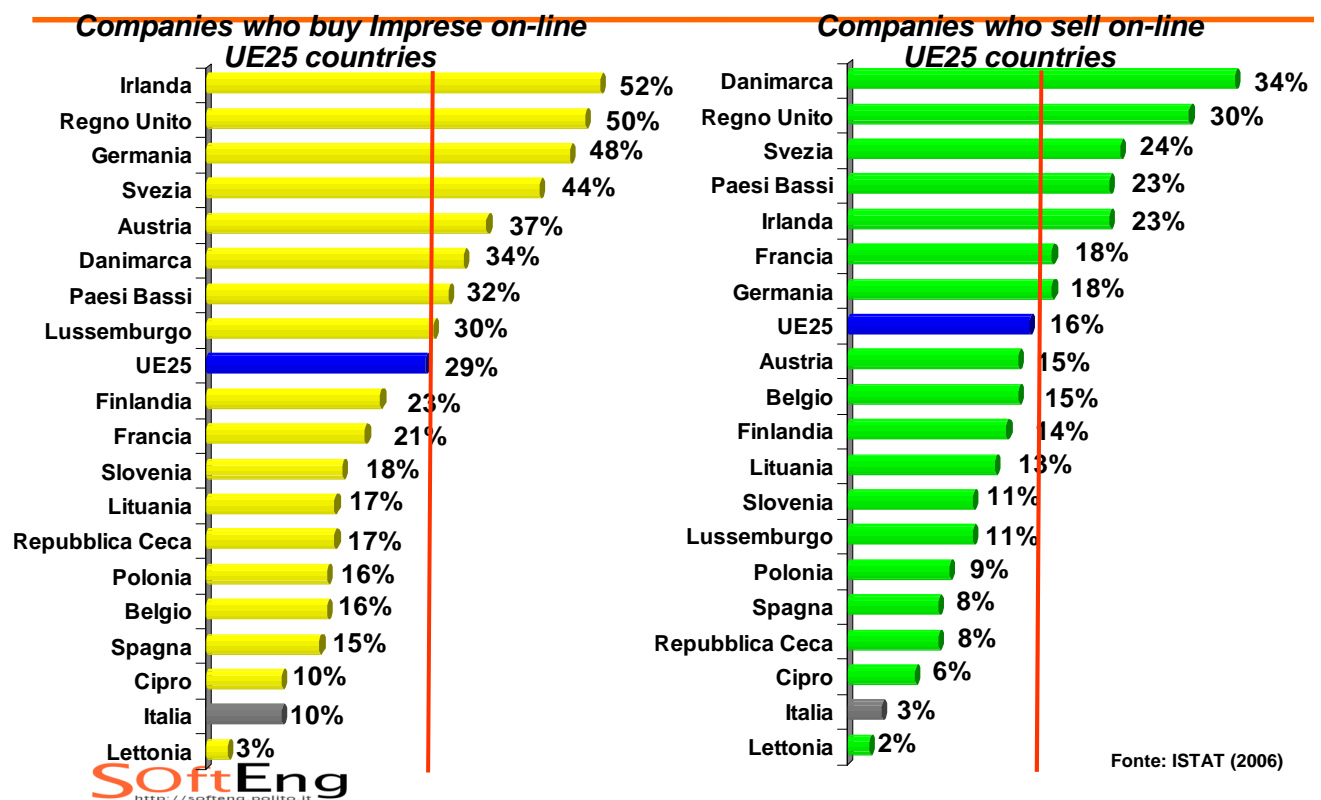
Fonte: AlTech - Assinform / NetConsulting

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# Diffusion



# Diffusion



# IT (2006)

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Euro

	IT expense/ GNP	per capita IT expense	per employee IT expense
USA	3.9%	1,408	2,945
Japan	2.3%	878	1,765
Germany	3.1%	812	1,837
UK	3.1%	983	2,095
France	3.2%	839	2,050
Italy	1.9%	341	878
Spain	1.9%	372	748

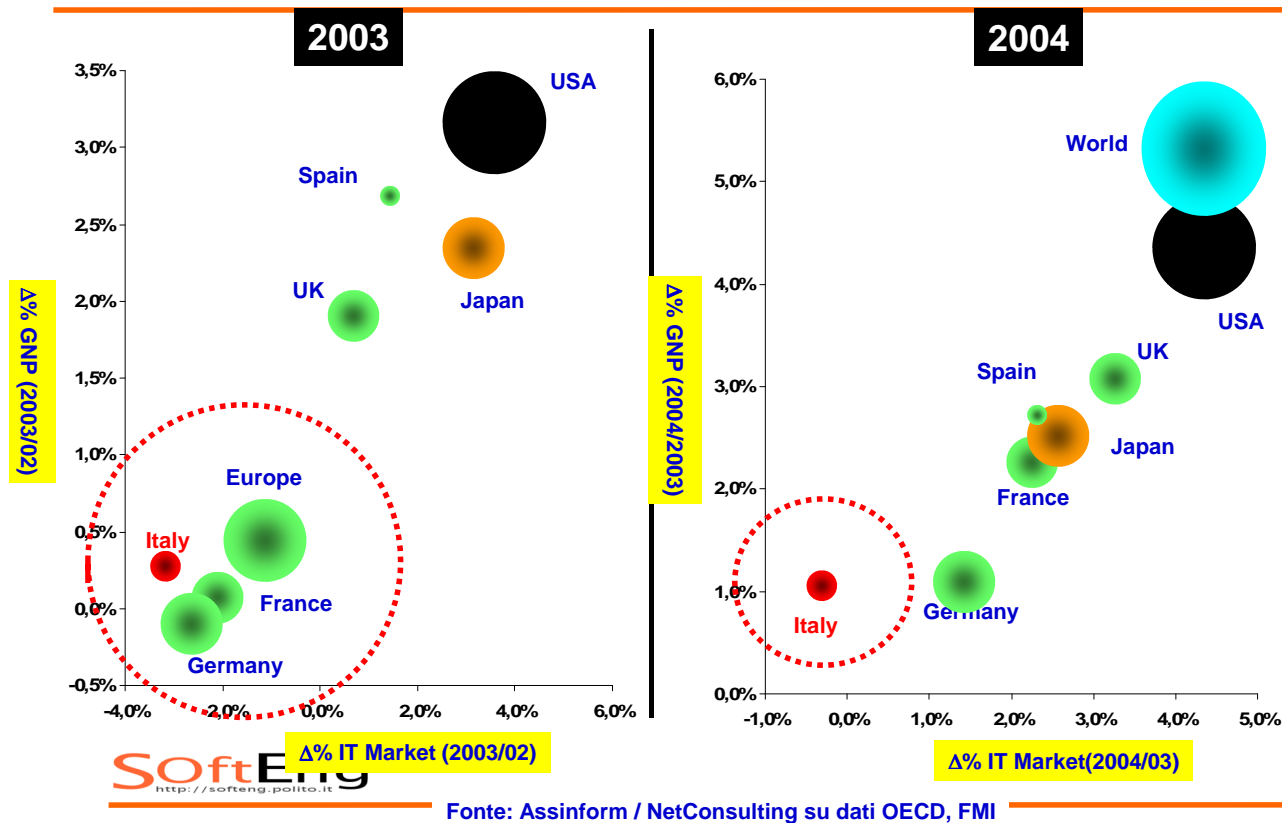
## Software, innovation, development

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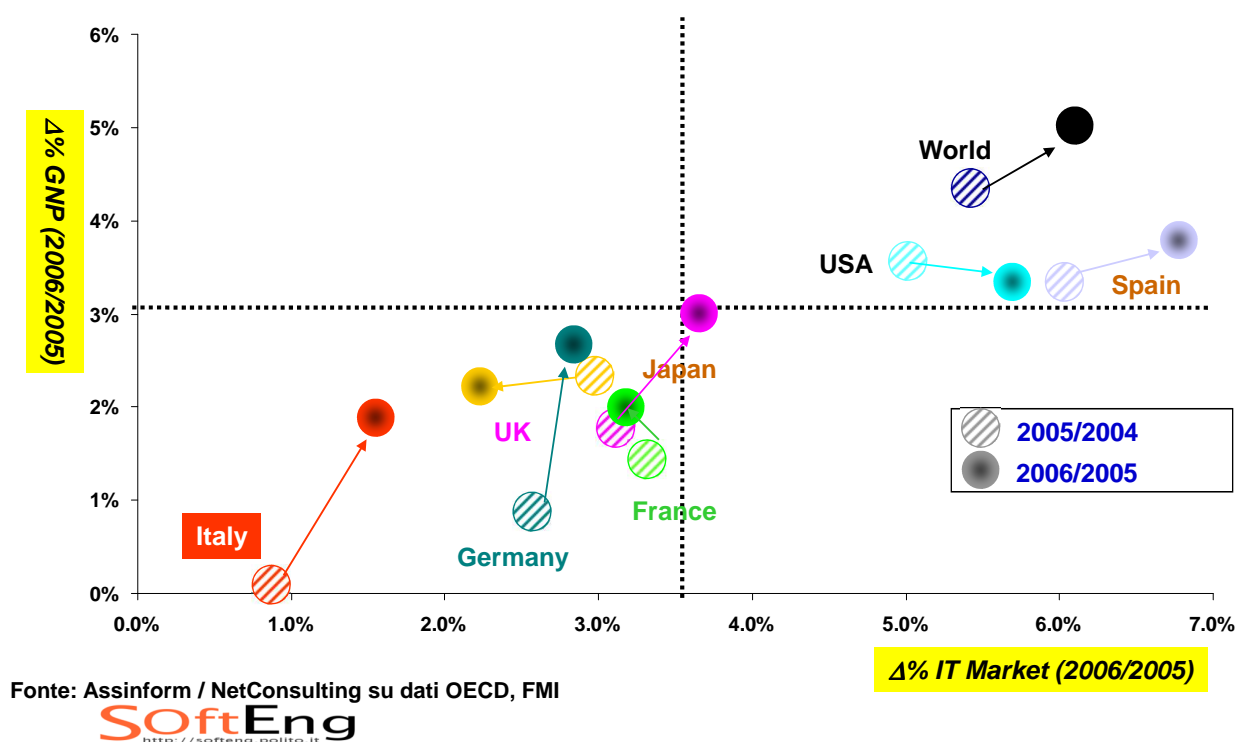
- Evidence of correlation between ICT diffusion and wealth
  - ♦ Positive correlation IT usage and per capita GNP
  - ♦ Positive correlation productivity increase and ICT usage



# Development – IT investment

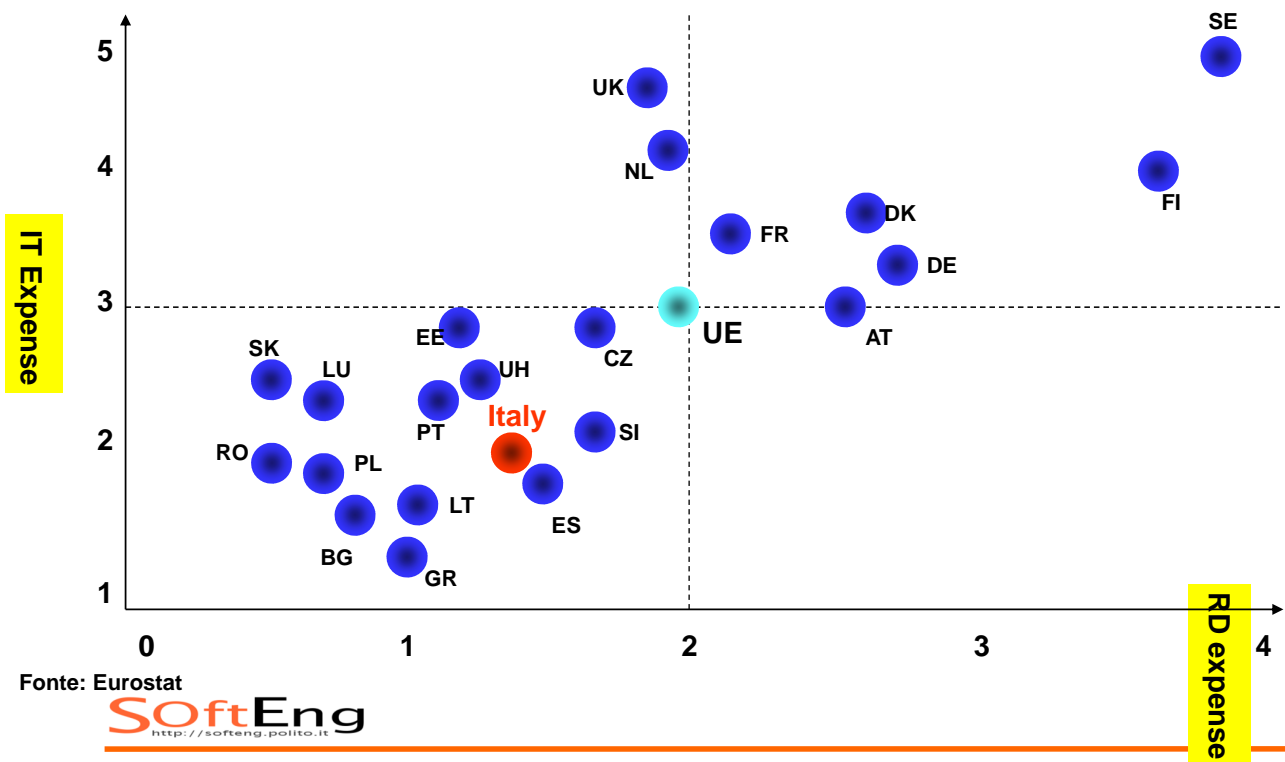


# Development – IT Investment



# R&D investment vs. IT investment

Valori % sul PIL



## Some data to think about

Computer use vs  
GDP

# Other data

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## ■ Internet users growth

Internet users (as percentage of population)  
ICT good exports (See also good imports and service exports )

## WEF – ICT development of nations

1 – Denmark  
Sweden  
Singapore  
Finland  
Switzerland  
Netherlands  
United States  
Iceland  
United Kingdom  
Norway  
Canada  
Hong Kong SAR  
Taiwan, China  
Japan  
Australia  
Germany  
Austria  
Israel  
Korea, Rep.  
Estonia  
Ireland  
New Zealand  
France  
Belgium  
Luxembourg

Ranking WEF  
(world economic forum)  
Global IT report 2006–2007  
[www.weforum.org](http://www.weforum.org)

Malaysia  
Malta  
Portugal  
United Arab Emirates  
Slovenia  
Chile  
Spain  
Hungary  
Czech Republic  
Tunisia  
Qatar  
Thailand  
40 - Italy

# WEF – ICT development

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- ICT conducive environment
  - ◆ Regulatory aspects, soft + hard infrastructure
- ICT readiness
  - ◆ Individuals, business, government
- ICT usage
  - ◆ Individuals, business, government

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# WEF – Global competitiveness

1- Switzerland  
Finland  
Sweden  
Denmark  
Singapore  
United States  
Japan  
Germany  
Netherlands  
United Kingdom  
Hong Kong SAR  
Norway  
Taiwan, China  
Iceland  
Israel  
Canada  
Austria  
France  
Australia  
Belgium  
Ireland  
Luxembourg  
New Zealand  
Korea, Rep.  
Estonia

World Economic Forum– Global  
competitiveness report 2006–2007  
[www.weforum.org](http://www.weforum.org)

Malaysia  
Chile  
Spain  
Czech Republic  
Tunisia  
Barbados  
United Arab Emirates  
Slovenia  
Portugal  
Thailand  
Latvia  
Slovak Republic  
Qatar  
Malta  
Lithuania  
Hungary  
45 - Italy

# Global competitiveness

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- Institutions
- Infrastructure
- Macroeconomy
- Health + primary education
- Higher education
- Market efficiency
- Technological readiness
- Business sophistication
- Innovation

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## Failures

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## Ariane V (1996)

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### Ariane V (1996)

The European launcher for earth satellites

A software defect caused an error in computing the position and speed of the launcher some 30 seconds after launch. The wrong position data caused the controller to send signals to the engines to change the direction of the launcher so swiftly that the structure was subject to high tensions. The tension went over the acceptable thresholds and the safety controller ordered self destruction.

# What happened

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On June 4th 1996, the maiden flight of the Ariane 5 launcher ended in a failure;

Only about 40 seconds after initiation of the flight sequence the launcher veered off its flight path, broke up and exploded;

The system failure was a direct result of a software failure.

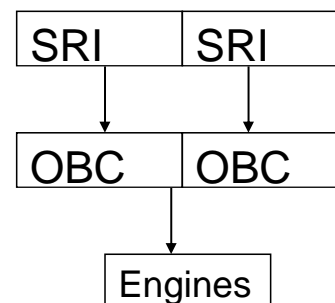


## The subsystem

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SRI: computer-based inertial reference system, computes attitude and trajectory of the rocket and sends them to OBC. Redundant.

OBC (on board computer): executes flight program, controls engines. Redundant.



# The problem

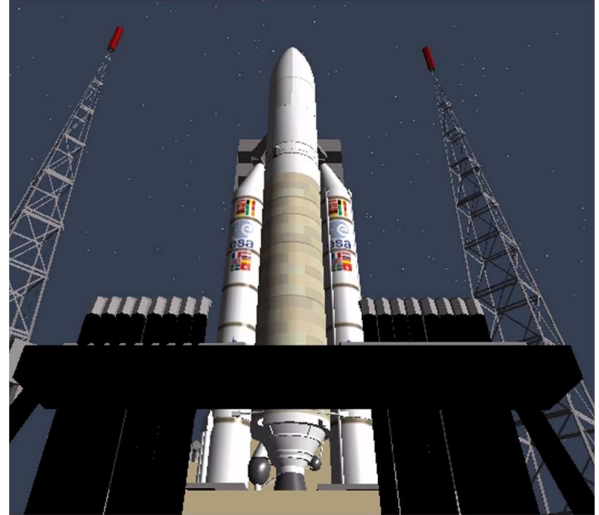
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Software failure on SRI. Occurred when, in function F, an attempt to convert a 64-bit floating point number to a signed 16-bit integer caused the number to overflow.

There was no exception handler associated with the conversion so the system exception management facilities were invoked. These shut down the SRI.

The backup SRI had the same software, and behaved in exactly the same way.

The OBC received diagnostic commands from shutting down SRI, and interpreted them as normal data, commanding engines to extreme position, resulting in unforeseen stresses on the rocket, that caused separation of the boosters from the main stage, in turn triggering the self-destruct system of the launcher.



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## Why?

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Why the overflow?

Why no exception handling?

SRI was reused from Ariane 4. The physical characteristics of Ariane 4 (A smaller vehicle) are such that it has a lower initial acceleration and build up of horizontal velocity than Ariane 5. The value of the variable on Ariane 4 could never reach a level that caused overflow in function F during the launch period.

Besides, function F was NOT needed in Ariane 5 (was in Ariane 4). Decisions were made:

- Not to remove F as this could introduce new faults;
- Not to catch overflow exceptions because the processor was heavily loaded. For dependability reasons, it was thought desirable to have some spare processor capacity.



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# Mars Polar Lander (2000)

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A probe expected to land on Mars for scientific exploration

A measure of length had to be exchanged between two components developed by two different teams.

The two teams used two different unit of measures.

The difference was very small and went unnoticed until the probe crashed on Mars

## The problem

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Key information is  
is NOT in the code  
(or is implicit in the code)

Software  $\geq$  code

Software engineering is about handling  
these information

# Key information – Ariane V

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Function `F(float x)` works correctly if range of input parameter `x` is between `x1` and `x2`

Not written

```
F(float x) {  
  }  
}
```

Written in code

```
F(float x) {  
  if (x < x1 or x > x2)  
    then signal error  
}
```

Written as comment

```
F(float x) {  
  // x in range [x1 , x2 ]  
}
```

# Key info Mars polar lander

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```
Int G (float y){  
  // Y is in meters per second  
  
}
```

---

# Definitions and concepts

## Software

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- Software = computer programs (= code) + data + procedures + documentation
- Producing *software* is 10x more expensive than producing *code* [Brooks75, the mythical man month]

# Software – types

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- stand alone
  - ♦ word processor, game
- embedded
  - ♦ ABS, washing machine, digital camera, mobile phone, ..
- process support
  - ♦ production process (things): industrial automation
  - ♦ business process (information): management automation

## Software vs. system

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Stand alone software →

‘software’

‘software development’

‘software engineering’

Embedded software →

‘system’

= software + sensors + actuators

‘system development’

‘system engineering’

# Software vs. system

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Software development

(cfr. ISO 12207)

System development

(cfr. ISO 15288)

software development

+ hardware (sensors, actuators)  
development

## Software – criticality

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- Criticality = damage in case of malfunction
- safety critical
  - ♦ Damage to human lives
    - ♦ aerospace, military, medical, ..
- mission critical
  - ♦ Disruption of key services, large money loss
    - ♦ banking, logistics, industrial production, ..
- other
  - ♦ games, ..

# Software – complexity

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- Complexity: parts and interactions among parts
  - [H Simon, The sciences of the artificial 1969]
- ♦ IKEA table: 5– 10 components
- ♦ bicycle: 20 – 100
- ♦ car: 30.000
- ♦ airplane: 100.000

## Software complexity

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- As of 2012, the Linux 3.2 release had 14,998,651 lines of code.[1]
- Windows 7 about 50 millions lines of code [2]
- An Android operating system in a smart phone consists of 12 million lines of code [3]
- The F-22 Raptor, the current U.S. Air Force frontline jet fighter, consists of about 1.7 million lines of software code. [4]
- The F-35 Joint Strike Fighter requires about 5.7 million lines of code to operate its onboard systems. [4]
- Boeing's new 787 Dreamliner requires about 6.5 million lines of software code to operate its avionics and onboard support systems. [4]
- Recent premium-class automobile " probably contains close to 100 million lines of software code," [4]

# Software – complexity

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- software systems are probably the most complex human artifacts
- One step ahead
  - Human brain
    - 86–100 G Neurons, ? synapses

# Software – lifespan

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Short: few months

many apps, games

Long: dozen of years

business support, process support,  
automotive

# Software product scenarios

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	type	criticality	complexity	lifespan
MS Office, MS windows	Stand alone	mission	high	5–10 yrs
Business support (bank)	Stand alone, embedded	mission	high	5–15 yrs
Automotive (ABS)	embedded	safety	Medium high	10 yrs
Airplane control	embedded	safety	high	10–20yrs
Computer game	Stand alone	no	Low to high	Weeks to years

## Scenarios and process

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The process must be adapted to the product scenario



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[1] Thorsten Leemhuis (5 January 2012). "Summary and statistics – The H Open Source: News and Features". The H. Heinz Heise. Retrieved 11 Feb 2012.

[2] <http://answers.yahoo.com/question/index?qid=20080712132328AAwyert>

[3] [https://docs.google.com/viewer?url=http%3A%2F%2Fwww.rttonline.com%2Ftt%2FTT2011\\_010.pdf](https://docs.google.com/viewer?url=http%3A%2F%2Fwww.rttonline.com%2Ftt%2FTT2011_010.pdf)

[4] <http://spectrum.ieee.org/green-tech/advanced-cars/this-car-runs-on-code>

## Diffusion

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- local
  - ♦ 1945 – 1980: scientific community, military, banks, large private organizations
- global
  - ♦ 1985 – today: 'free' hardware, huge diffusion of computing, impact on everyday's life

# Misconceptions

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- Software is free
- Software is soft
- Software is produced
- Software ages

## Software is free

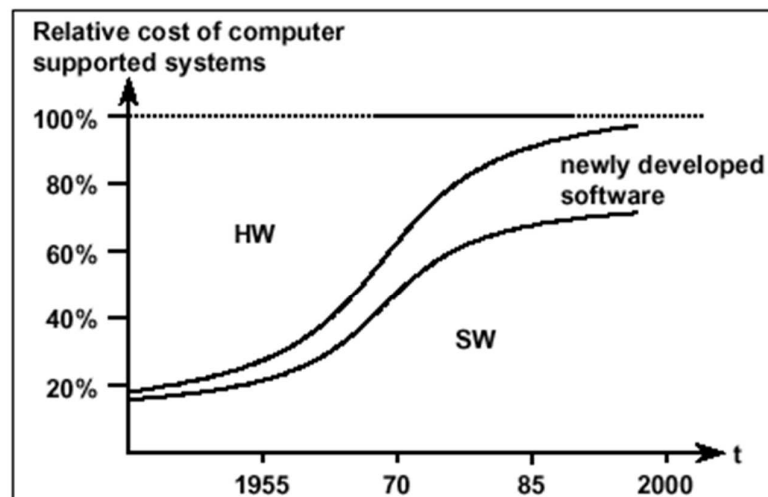
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- ♦ Very labor intensive --
  - assuming
  - Productivity = 200 – 1000 LOC per person month
  - Personal cost = : \$ 8.000 per person month
  - \$8 to \$40 per LOC
- a medium sized project with 50.000 LOC costs between \$400.000 to \$1.600.000 in personnel

# Software is free

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- Cost of software is dominant



# Software is soft

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- Yes, softer than hardware but changing it is difficult and costly
  - ♦ Cost of maintenance > cost of development (if lifespan is long)
  - ♦ Maintenance becomes impossible at a certain point (architecture erosion)
- And change always happens

# Software is produced

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- Software is not mass produced (like machines)
  - ♦ replication (manufacturing) is almost effortless
- Software is developed
  - ♦ the description of the solution is the product
  - ♦ Non-deterministic, creative process due to human involvement
  - ♦ Controllable in a probabilistic manner only
  - ♦ Defects come from development (not from production)

# Software ages

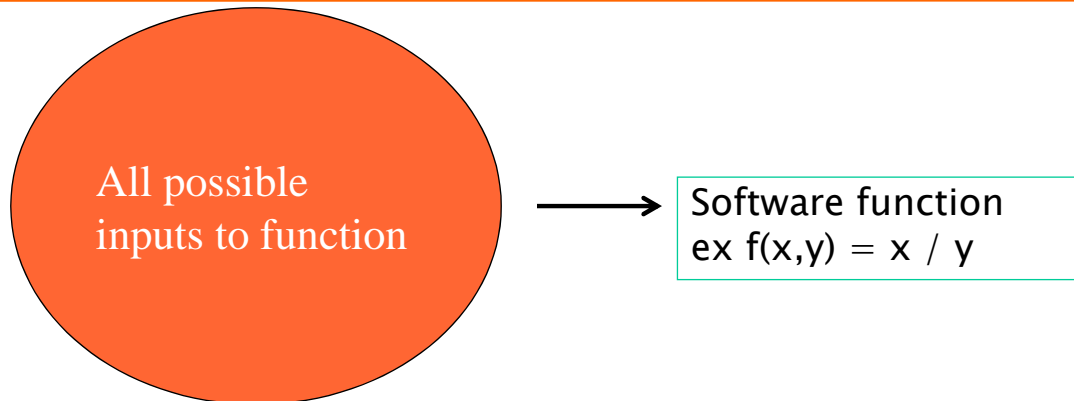
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Software does not break as it ages

Failures do not occur due to material fatigue  
(as with hardware)

hardware reliability concepts don't work

but due to the execution of logical faults, and  
these faults may appear with time



1 data input, out of millions, causes defect in function  
Defects are in function since time 0, but they will appear only when the data input will be used, giving 'ageing' feeling

## The Intel Pentium case

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### Pentium P5, 1994

Defect in division algorithm of floating point unit (missing elements in look up table)

Only few sequences of input revealed the defect (one out of 9 billion)

# Software ages (2)

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Software cannot be perfect at the beginning

All software faults may not be removed before release

Besides, changes to software (requirements changes, platform changes, defect corrections) may introduce other defects

# Software engineering

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- Software engineering
  - ♦ Multi person construction of multi version software [Parnas]
  - ♦ Not 'solo programming'

# Solo programming

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- Size: small
  - ♦ One person can do it
- Developer is the user
  - ♦ No communication problems
- Lifespan: short
- Cost: limited (free)
- Properties: functional

# Software engineering

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- Size: large
  - ♦ Teams, documentation, communication and coordination problems
  - ♦ Modules and structure
- User is not the developer
  - ♦ 3<sup>rd</sup> party requirements, communication problems
- Lifespan: long (no ageing)
- Cost: development + operation/maintenance
- Properties: functional and not functional

# Functional vs. non functional

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- Functional characteristics of software
  - ♦ “Add two integer numbers”
- Non functional properties
  - ♦ User interface usable by not computer expert
  - ♦ Precision
    - relative error  $< 10^{-9}$
    - absolute error  $< 10^{-8}$
  - ♦ Reliability
    - sum must be correct 99,999999% times
  - ♦ Performance, efficiency
    - Sum must be performed  $< 0,01$  millisec
    - Sum must use  $< 10$  kbytes ram memory

# Functional vs. non functional

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- Non functional properties sometimes harder to express
- Harder to design into software
  - ♦ They are *emerging* properties
    - Depend on the whole system, i.e. reliability, performance

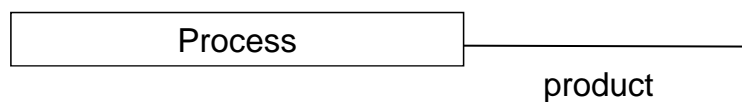


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# Process and product

## Process and product

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- Process: activities, people, tools
- Products: documents, data, code
- The quality of the product depends on the quality of the process
- The process depends on the product

# Process & product properties

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- Process properties
  - ♦ Cost
  - ♦ Effort
    - ♦ Hours worked
  - ♦ Punctuality

# Process & product properties

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- Product properties (ISO 25010 – ex ISO 9126)
  - ♦ Functionality
  - ♦ Correctness
  - ♦ Reliability
  - ♦ Performance

# Process & product properties

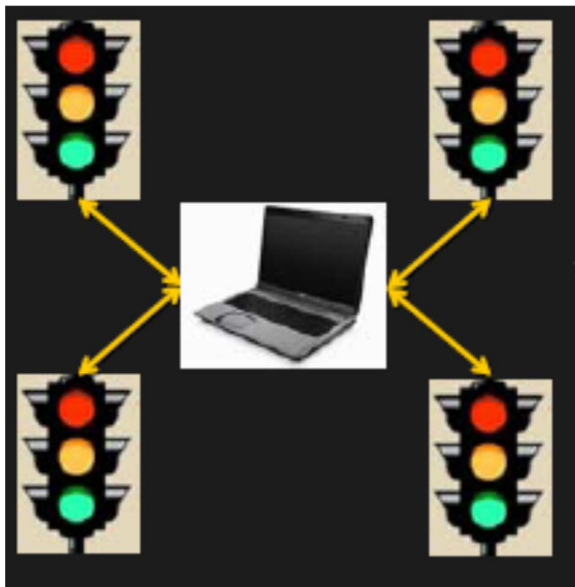
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- Product properties
  - ♦ Safety
  - ♦ Robustness
  - ♦ Usability
  - ♦ Security
  - ♦ ..

## Functionality

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- Set of functions that satisfy stated or implied needs
- Ex. control 4 traffic lights in a road crossing so that



- ..
  - ♦ Green in one direction, red in other direction during x sec
  - ♦ Flashing yellow in one direction during y sec, red in other direction
  - ♦ Red in one direction during z sec, green in other direction
-

# Correctness

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- Capability of the product to provide the intended functionality in all cases
  - ♦ Ex. the intended sequence of signals is always satisfied

# Reliability

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- The ability of a system or component to perform its required functions under stated conditions for a specified period of time.
  - ♦ The intended sequence of signals is satisfied with high probability (ex  $P = 99.9\%$ ) during a year
    - Or, there is 1 failure every year

# Safety

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- Capability of avoiding hazards
  - ♦ Ex. f1 Never allow green in both directions
  - ♦ Ex. F2 Red light broken
  - ♦ F3 Red in all directions

# Performance

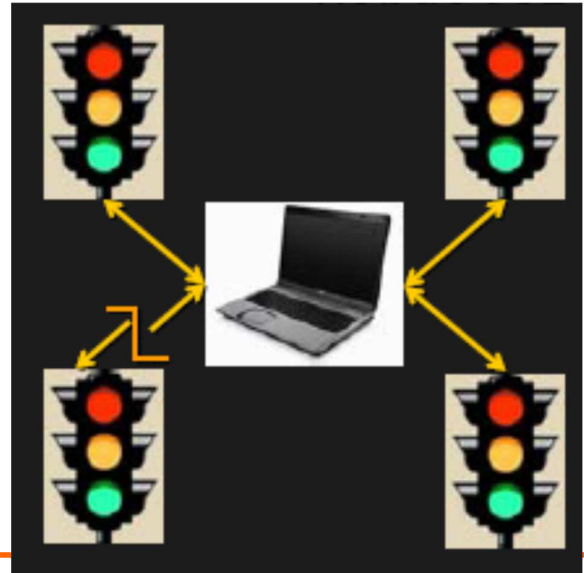
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- Time: speed/delay to perform a function
- Space: memory required to perform a function

# Robustness

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- Capability of providing a reduced functionality in adverse conditions
- In case of broken cable the system provides a safe behavior
  - ♦ All red
  - ♦ All flashing yellow



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# Usability

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```
MS-DOS Prompt
C:\WINDOWS>cd..
C:\>e:
E:\>copy c:\mymusi\1\sync\1\*.mp3
c:\mymusi\1\sync\1\N Sync - Gloria Estefan - Music Of My Heart.mp3
c:\mymusi\1\sync\1\N Sync - Best of My Life.mp3
c:\mymusi\1\sync\1\N Sync - Bye Bye Bye.mp3
c:\mymusi\1\sync\1\N Sync - I Drive Myself Crazy.mp3
c:\mymusi\1\sync\1\N Sync - I Want You Back.mp3
c:\mymusi\1\sync\1\N Sync - Tearin' up my heart.mp3
c:\mymusi\1\sync\1\N Sync - God Must Have Spent A Little More Time On You.mp3
7 file(s) copied
E:\>_
```



- Ease of use of a function
  - ♦ Effort needed to use the product
  - ♦ Assessment by the user about using the product

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# Software engineering

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- Principles, techniques, methods
- To guide the development and maintenance of software
- With defined process and product attributes

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## Process

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See chapter

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Principles



# Principles

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- Fundamental, broad coverage ideas, capable of producing positive, useful effects
  - ♦ Separation of concerns
  - ♦ Abstraction
  - ♦ Modularity

## Separation of concerns

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- Given a large, difficult problem, try to split it in many (independent) parts, consider a part at a time
  - ♦ In war: divide and conquer
  - ♦ In SE: software process, concentrate on what the system should do, then on how, then do it

# Abstraction

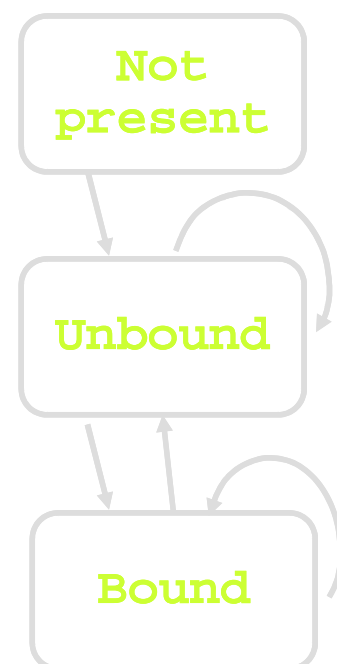
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- Given a difficult problem/system, extract a simpler view of it, avoiding unneeded details
- Then reason on the abstract view (model)

# Abstraction

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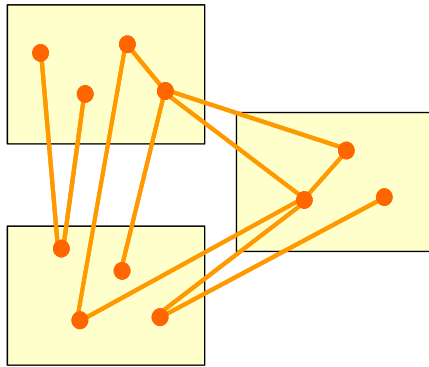
```
package Computer;
public class Slot {
    public String slotID;
    private Component component = null;
    public Slot
    (String _slotID,
    boolean _installed,
    boolean _required,
    Component _component
    ) {
        slotID = _slotID;
        installed = _installed;
        required = _required;
        component = _component;
    }
    public void bind(Component c) {
        component = c;
    }
    public void unbind() {
        component = null;
    }
    public boolean isBound() {
        return (component != null);
    }
}
```



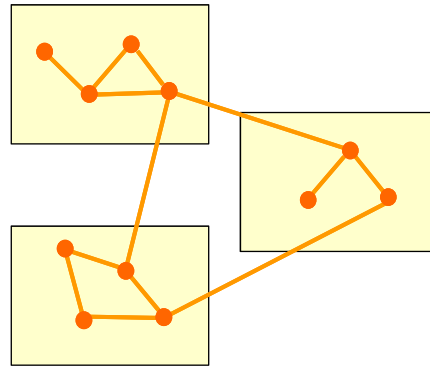
# Modularity

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- Divide a complex system in modules, with high cohesion and low coupling



high coupling



low coupling

## Information hiding

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- In complex systems, each module should hide to others as many details about its internal mechanisms/design choices, as possible
  - ♦ Another form of 'high cohesion low coupling'

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# SE approaches

## SE in one slide

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### Activities

Production, VV, management

### Documents (and code)

To share and control information, decisions

### Techniques

To support activities

### Languages

To write documents (UML), code

### Models

To guide, support activities and the whole

CMM and CMM-I, ISO 9000-3, ISO 15504, ISO 12207, ISO 9126, IEEE, ..

# Approaches

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There are many different ways of putting everything together

But at least 3 approaches can be recognized

## Three basic approaches to SE

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### Cow boy programming

Just code, all the rest is time lost and real programmers don't do it

#### 1. Document based, semiformal, UML

Semiformal language for documents (UML), hand (human) based transformations and controls

#### 2. Formal/model based

Formal languages for documents, automatic transformations and controls

#### 3. Agile

Limited use of documents, emphasis on code and tests

# Approaches, diffusion

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## Cow boy programming

Not un-applied ..

### 1. Document based, semiformal, UML

Standard industrial practice, especially on large projects and mature companies/domains

### 2. Formal

Limited application in critical domains, small part of projects, does not scale up in large projects

### 3. Agile

Latest approach, debated, limited but increasing usage

# Approaches

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This course is focused on approach 1

Specific lectures on approach 2 and 3

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# Recent trends in SE

## Trends – development

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Component based SE

Buy + integrate vs. build

Open source or commercial

Offshoring

Outsourcing

Agile

# Trends – business models

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## ASP – pay per use

software is run on the provider's machines. Users use it through a network (Internet or Extranet). Users pay for using the software rather than purchasing it. E.g., mySAP.com.

## Freeware and pro versions

a light version of the software is distributed free of charge. The professional version is charged. E.g., RealPlayer.

Shareware: software is distributed freely to facilitate trial use. Users pay for it if they decide to keep it and use it. E.g., WinZIP.

Adware: the software is free. The interface show advertisement banners refreshed via Internet. E.g., Eudora

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# Summary

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- Software development is an important part of the economy, software is pervasive and a key factor in innovation and growth
- Software is not only computer programs
- Software engineering considers techniques and methods to develop large, long lived software, with many

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# Summary

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- Software is characterized by its function, its correctness, reliability, usability
- Key guiding principles are separation of concerns, abstraction, modularity